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Slides for Quality at Entry and Quality Assurance Group - April 2, 1997

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Washington, D.C.

 $@ \ International \ Bank \ for \ Reconstruction \ and \ Development \ / \ International \ Development \ Association \ or$

The World Bank 1818 H Street NW Washington DC 20433

Telephone: 202-473-1000 Internet: www.worldbank.org

Slides for Quality at Entry and Quality Assurance Group

Wednesday, April 2, 1997 4:00 - 5:00 p.m. Room E1227

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Slides for Quality at Entry and Quality Assurance Group - April 2, 1997

Archive Management for the President's Office

Document Log

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Edit	Print	311110 01021
A. CLASSIFICATION	N	
Meeting Material Trips Speeches	Annual Meetings Corporate Management Communications with Staff Press Clippings/P	JDW Transcripts Social Events Other
ENTRY AND QUALITY VENUE: E1227 (CONF. CONTACT: MYRNA AL IN ATTENDANCE: JDW KATHY SIERRA, T. HU (B) MYRNA ALEXANDI 2/18- ADVISED THAT 2/19- RESCHEDULED I	LEXANDER @ 33262 V, CKW, GK, MYRNA ALEXANDER, PREM GARG, ISAIN, A. THIEME, PEDRO BELLI, HANY ER & PREM GARG // DUE: FRIDAY, FEB. 14 C.O.B. // THERE IS NO BRIEFING MATERIAL FOR THIS MEETING FROM FEB. 20 //3-5 RESCHEDULED FROM 3:30 P.M. B/12 - RESCHEDULED AT THE REQUEST OF G.KAJI	DATE: 04/02/97
C. VPU		
Corporate CTR EXT LEG MPS OED SEC/Board TRE	Regional AFR EAP ECA LAC MNA SAS Central CFS DEC DEC ESO FPD FPR HRO	Affilliates GEF ICSID IFC Inspection Panel Kennedy Center MIGA
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View Update History



Quality Assurance Group And Quality at Entry



Assessing Quality at Entry

Work Program

- ◆ Portfolio Improvement Program
- Quality Assessment Program
 - * Quality at Entry
 - * Supervision
 - * Economic and Sector Work
- → Measuring Operational Effectiveness

Our Approach

- → Small core staff. Use of customized panels of respected Bank and non-Bank professionals for each assignment
- → Selective but thorough assessments. QAG assessments not a clearance function
- ◆ Close interaction with task teams, borrowers, beneficiaries and stakeholders
- ◆ Use results to leverage change in partnership with OED, LLC, EDI and Networks

Quality at Entry

- ✦ How do we assess quality at entry?
- → Our findings so far
- ✦ How we are using our findings
- ◆ An example from LAC
- ♦ What's next?





How do we assess quality at entry?

Quality at Entry Assessments

- Assess 50 projects/year
 - * 20 before Board
 - * 30 between 1-2 years after board
- → Random but focused on potential problem areas
- Post-Board assessments include field visits to get Borrower and Stakeholder perspectives

The Assessment is carried out by a Panel which...

◆ Combines technical economic and country skills



Assessment Panel Skills

sector economist

implementation specialist

country expert

other skills as needed Panels

Typically 4-5 members

technical specialist(s)

World Bank operations specialist



As part of the change agenda...

QAG's mandate is to promote consistently high Bank performance

- by enhancing accountability through independent assessments of Bank work in real time
- by supporting systemic change through early identification of opportunities for improving quality

Why QAG?

- Operational quality is not what it could be
- ♦ While we cannot always ensure successful project outcomes, we must ensure consistently high Bank performance
- → The Bank's change agenda aims at improving performance

QAG is part of this change process...

Quality Assurance Group

- ◆ Overview of the Quality Assurance Group
 - * Mandate
 - * Approach
 - * Work Program
- ◆ Quality at Entry



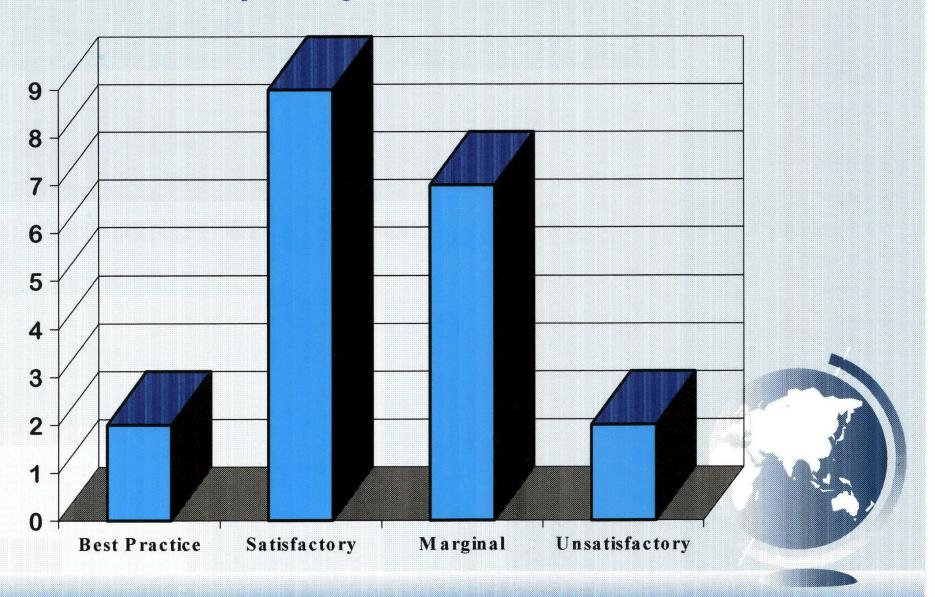
More Quality at Entry Assessments are coming

- ◆ Pre-Approvals -- 2 to 3 projects a month
- ◆ Post-Approvals are being launched
 - * EAP: Poverty/Rural Development
 - * SAS: Private Sector Infrastructure Finance
 - * Biodiversity: GEF and Bank projects



Findings So Far

Of Twenty Projects Assessed To Date...



Common Problems

- → Many Projects not ready for implementation
- ◆ Risk Assessments are often poor
 - * not candid
 - * no exit strategy
 - * poor linkage to alternative project designs
- ◆ Excessive Complexity
- Uneven Client Ownership
- ◆ The Peer Review system does not work

Common Features of Best Practice

- ◆ Stakeholder participation actively nurtured
- ◆ Task Teams committed to use of global knowledge, and open to independent input and review
- Managers provide clear, timely guidance to task teams

Quality At Entry Assessments: Reactions?

- Skeptics becoming converts
 - * methodology accepted, and is being replicated within the Regions
- ◆ Panel Members: "Best Training ever"
- → Task Teams: "Tough, but worth it"





How Do We Use Our Findings?

Assessment Results

We...

- Share Assessments with concerned line managers and Network heads for follow-up
- ◆ Issue synthesis reports on do's and don'ts
- → Elevate issues requiring institutional response
- ◆ Enhance training with LLC and EDI
- → Feed into network quality assurance initiatives



An Example from LAC

LAC Basic Education

- → Cluster chosen because
 - * Older LAC Education projects "at risk"
 - * Education is an important part of LAC's strategic agenda
- ◆ Covers four Basic Education Projects
 - * Nicaragua, El Salvador, Bolivia, Peru
 - * 1 to 2 years into implementation
- ★ Assessment included a two-week mission to get client and stakeholder feedback

Assessment Team

- → Gianni Brizzi
 (MENA operations advisor; LAC experience)
- → Marlaine Lockheed (Bank expert on Basic Education)
- ◆ Alejandra Cox-Edwards (HR Economist with LAC experience)
- → James Irving (Chief, Policy Division, New Zealand Ministry of Education)

LAC Assessment Findings

- ◆ Project Quality at Entry is generally good
 - * El Salvador -- Best Practice
 - * Nicaragua -- Satisfactory
 - * Bolivia -- Satisfactory
 - * Peru -- Marginal



LAC Assessment Findings

- ◆ Bank operating in challenging political environments, tackling fundamental reform
- ◆ Excellent linkage to CAS and sector work
- High quality pedagogy components
- ◆ El Salvador a Best Practice case of stakeholder participation and piloting

However...

LAC Assessment Findings

- → Bolivia/Peru projects very complex
 - * Required strong Bank field presence to overcome start-up problems
- ◆ Uneven readiness for implementation
 - * Especially civil works components
- Weak risk analysis
 - * Inadequate fiscal sensititivy analysis
 - * Given high political stakes in Bolivia, Bank team should have included social scientist

Using the LAC Assessment Findings

◆ Region is sharing QAG findings with clients and taking remedial measures

◆ LAC is planning a staff workshop to explore how these "real time" lessons can improve today's implementation and tomorrow's project quality at entry

Using the LAC Assessment Findings

- ◆ EDI to use assessment as case material for a LAC Borrower/Task Team workshop
- ◆ The HD Network
 - * Putting results into knowledge management system
 - * Presented findings in a HDD week training module for Resident Mission Staff
 - * Plans on developing Case Studies for future LLC training



What's Next?

The Assessment is carried out by a Panel which...

 Assesses quality against specific criteria and looks at Bank decision making processes



Quality at Entry Criteria

- ◆ Assessed against seven key dimensions:
 - * Project concept, objectives and approach
 - * Technical, economic and financial analyses
 - * Environmental analysis
 - * Social and stakeholder analysis
 - * Institutional capacity analysis
 - * Readiness for implementation
 - * Risk assessment and sustainability
- Assess Bank performance
 - * Quality of decision making
 - * Appropriateness of skill mix
 - * Cost-effectiveness

