

# Deep Dive into the InVEST SDR Model

Luke Wilson

Anchor Environmental Consultants

luke@anchorenvironmental.co.za

PROGREEN

natural  
capital  
PROJECT

 **ANCHOR**  
environmental

 Administered by  
**THE WORLD BANK**  
IBRD • IDA | WORLD BANK GROUP



# OUTLINING THE MODEL

## Two main components:

- i) Estimation of soil erosion via USLE equation
- ii) The Sediment Delivery Ratio (SDR)

$$\text{Sediment Export} = \text{Erosion} \times \text{SDR}$$





What factors could be determining erosion rates here?



# OUTLINING THE MODEL

## 1. Estimation of soil erosion using the **Revised Universal Soil Loss Equation (USLE)**

- Maps soil loss on each pixel based on:
  - Slope length and steepness (LS factor)
  - Rainfall erosivity (R factor)
  - Soil erodibility (K Factor)
  - Vegetation Cover (C factor)
  - Conservation practices (P factor)
- **USLE = LS x R x K x C x P = annual erosion**



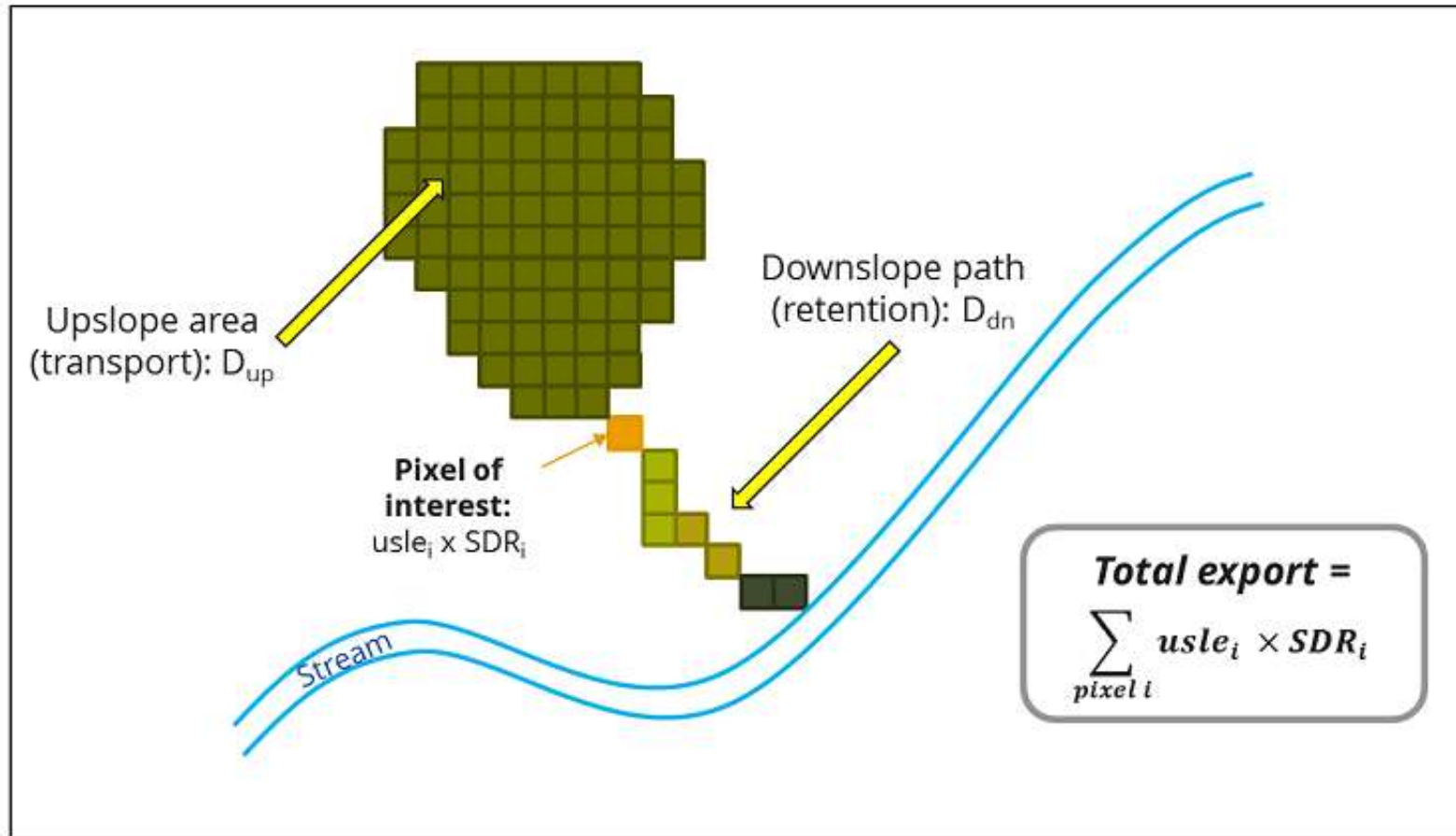
# OUTLINING THE MODEL

## 2. Estimation of the **Sediment Delivery Ratio (SDR)**

- For each pixel, calculates how much erosion actually reaches streams
- Based on land cover and degree of hydrological connectivity
- **Sediment export = USLE x SDR**



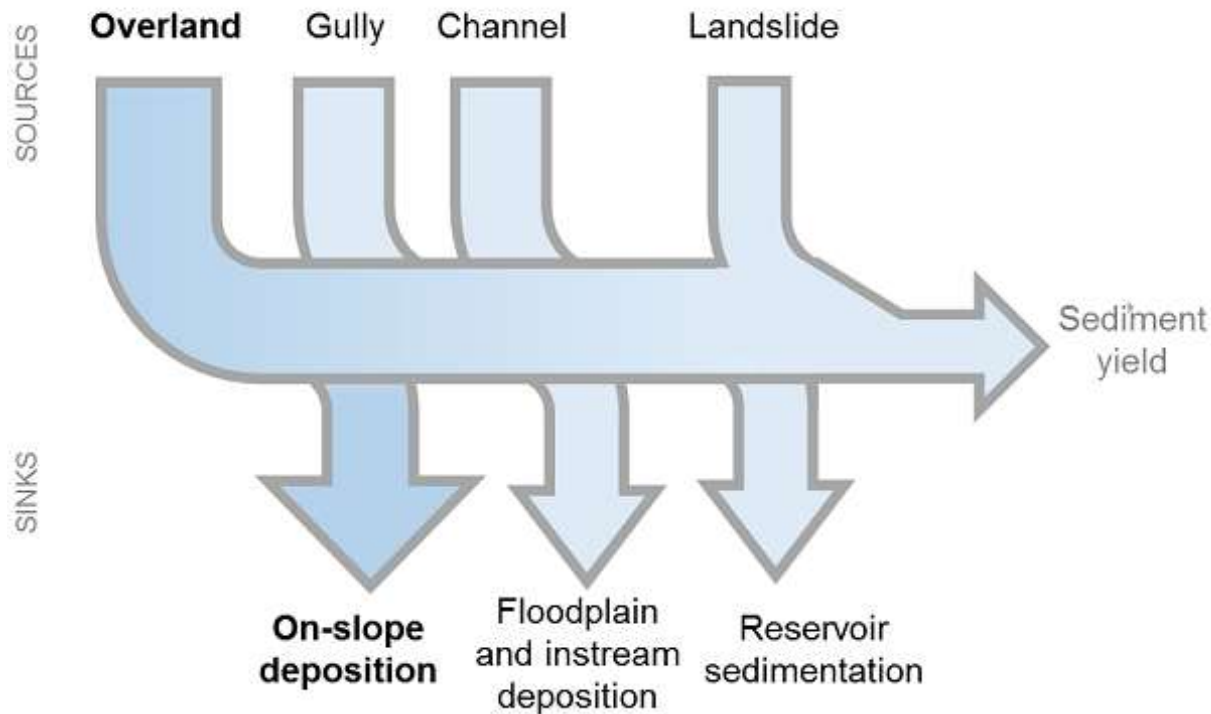
# OUTLINING THE MODEL



Sharp et al., 2020



# LIMITATIONS

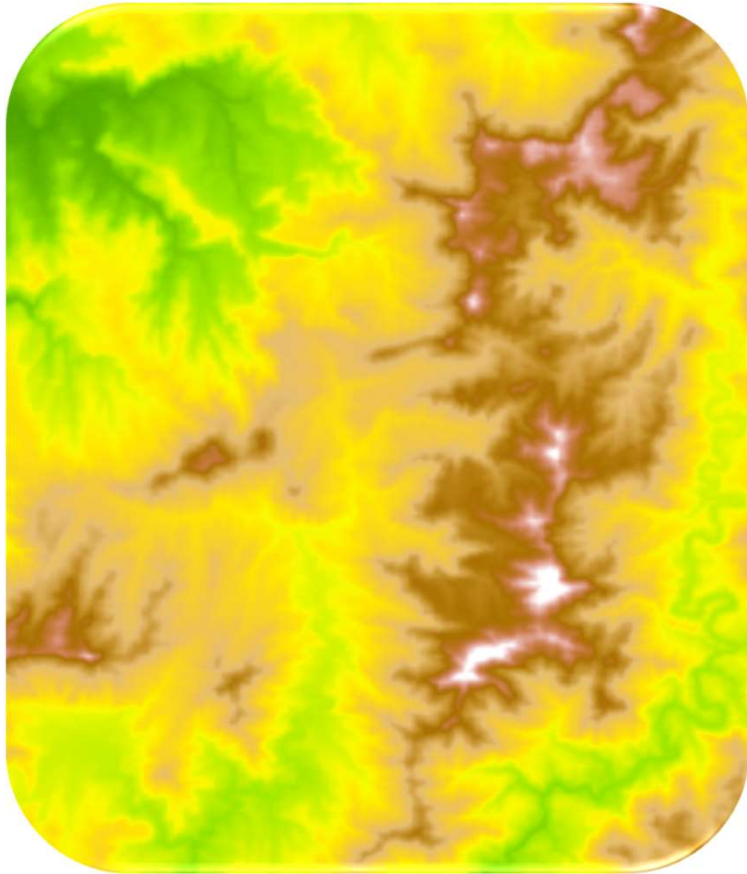


- Considers only overland (sheet and rill) erosion
- Does not model instream deposition or sediment retention by reservoirs
- Uncertainty with parameter estimation e.g. C and P factors

Sharp et al., 2020



# INPUT DATA



Digital elevation model (DEM)

Rainfall erosivity raster

Soil Erodibility Raster

Land use/land cover raster

Watersheds shapefile

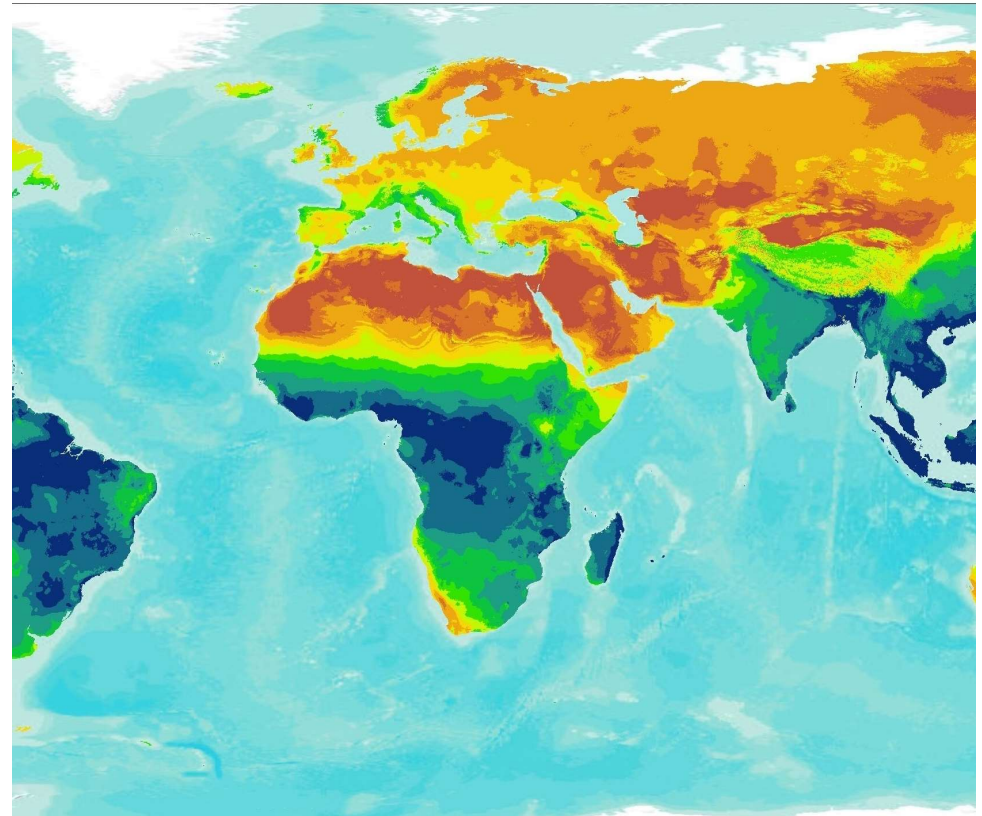
Biophysical table to assign C and P factor values to different LULC classes





# RAINFALL EROSIVITY (R FACTOR)

- Measured in  $\text{MJ} \cdot \text{mm}/(\text{h} \cdot \text{ha} \cdot \text{year})$
- May be regional studies which provide equations to generate R from precipitation data
- Alternatively, global R factor map is available  
<https://esdac.jrc.ec.europa.eu/content/global-rainfall-erosivity>



# SOIL ERODIBILITY (K FACTOR)

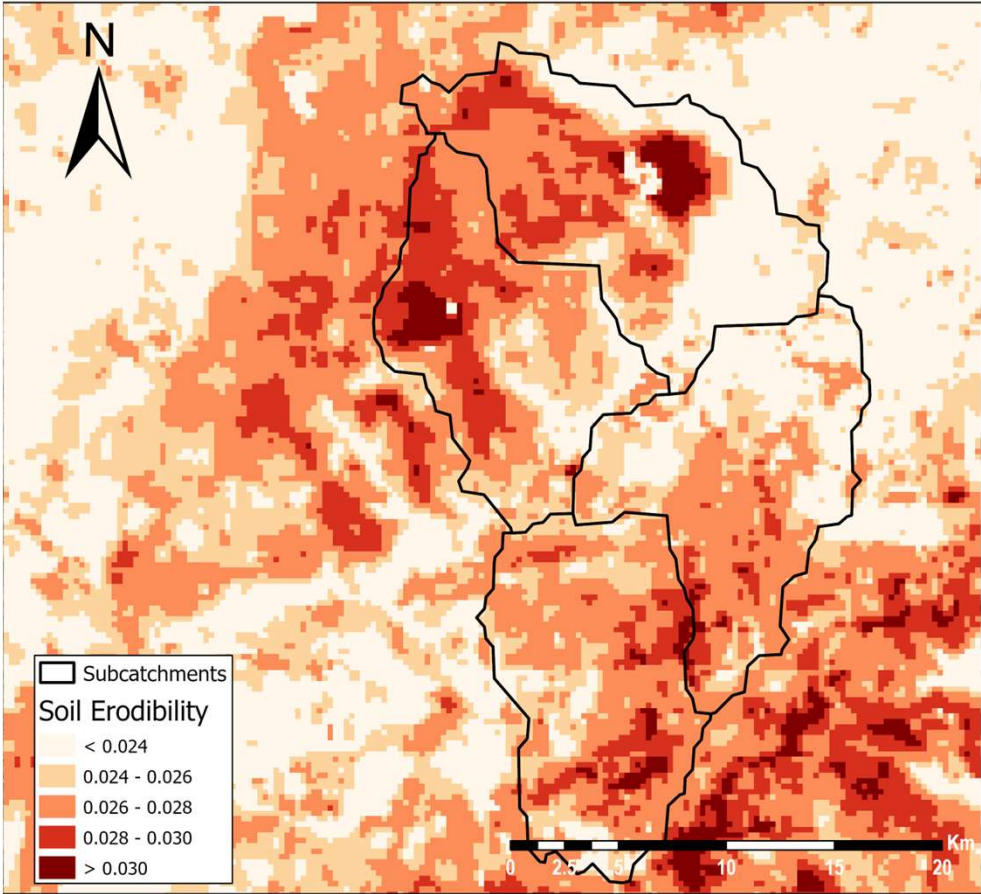
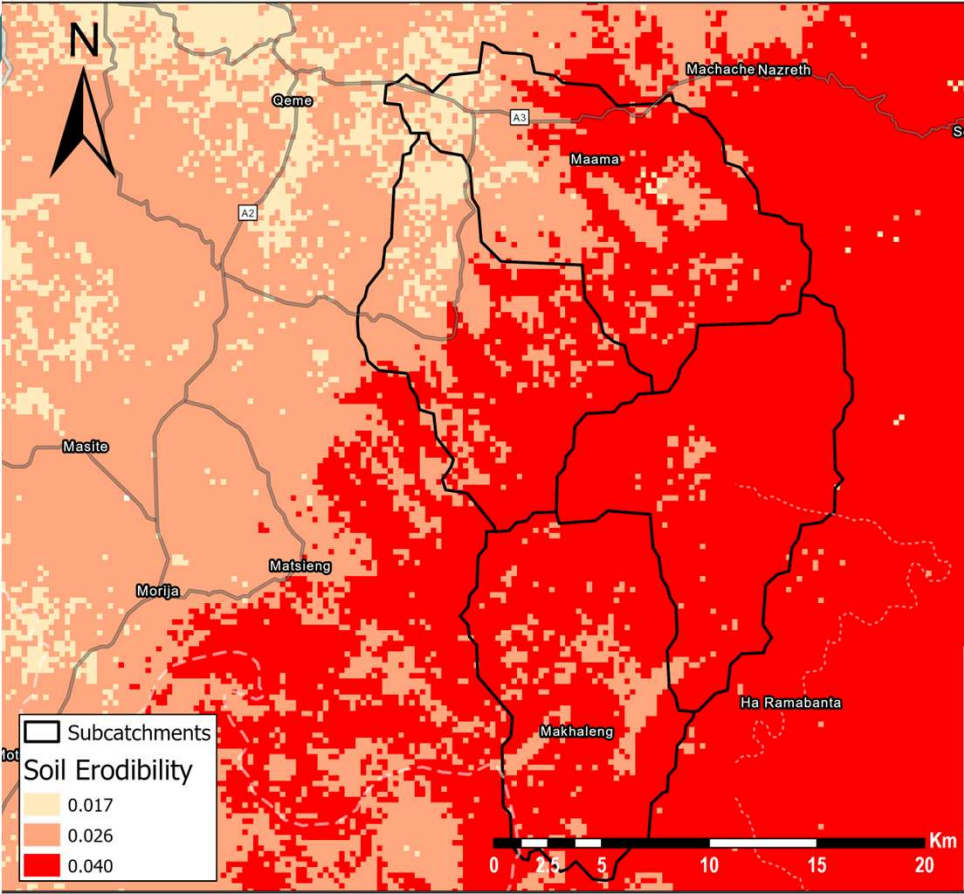
$$K = \frac{2.1 \cdot 10^{-4}(12 - a)M^{1.14} + 3.25(b - 2) + 2.5(c - 3)}{759}$$

- Measured in t · ha · hr / (ha · MJ · mm)
- Primarily related to soil texture
- Can be calculated from various global soil parameters from the ISRIC soils database
- Can also be more quickly estimated from soil texture and organic matter content

Texture class	Average OMC	OMC < 2%	OMC > 2%
Clay	0.0290	0.0316	0.0277
Clay loam	0.0395	0.0435	0.0369
Coarse sandy loam	0.0092	0.0092	0.0092
Fine sand	0.0105	0.0119	0.0079
Fine sandy loam	0.0237	0.0290	0.0224
Heavy clay	0.0224	0.0250	0.0198
Loam	0.0395	0.0448	0.0342
Loamy fine sand	0.0145	0.0198	0.0119
Loamy sand	0.0053	0.0066	0.0053



# K FACTOR COMPARISON



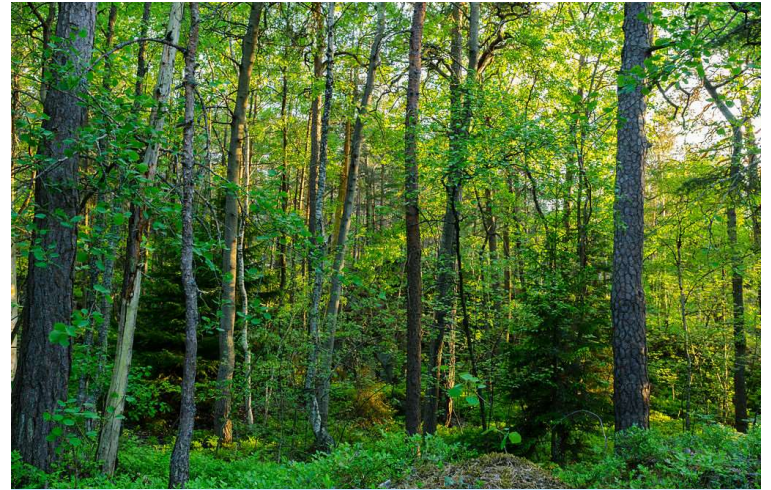
# LAND COVER (P AND C FACTORS)

- Select best available land cover for the study area, or generate your own
- Used 2021 Lesotho Land cover Atlas data here
- Each LC class must have a corresponding C and P factor value in biophysical table
- Can alter LC raster and/or biophysical table to monitor the impact of LULC change or soil conservation actions



# COVER (C FACTOR)

- Accounts for reduction in erosion due to vegetation cover: **Higher C Factor = higher erosion**
- Can obtain estimates from the literature for comparable land cover types



# COVER (C FACTOR): NON-ARABLE LANDS

Land cover type	Description	C-factor range	References
Closed forest (evergreen)	Tree canopy >70%, remains green all year. Canopy is never without green foliage.	0.0001–0.003	Borrelli et al. (2017); Panagos et al. (2015c); USDA (1977)
Closed forest (deciduous)	Tree canopy >70%, with an annual growing and dormant season.	0.0001–0.003	Borrelli et al. (2017); Panagos et al. (2015c); USDA (1977)
Open forest (evergreen)	Tree canopy 15–70%, remains green all year. Canopy is never without green foliage.	0.0001–0.003	Borrelli et al. (2017); Panagos et al. (2015c); USDA (1977)
Open forest (deciduous)	Tree canopy 15–70%, with an annual growing and dormant season.	0.0001–0.003	Borrelli et al. (2017); Panagos et al. (2015c); USDA (1977)
Shrublands	Woody perennial plants with persistent and woody stems less than 5 m tall.	0.01–0.15	Borrelli et al. (2017); de Vente et al. (2009); Bakker et al. (2008)
Herbaceous vegetation	Plants without permanent stems. Tree and shrub cover is less than 10%.	0.01–0.15	Bakker et al. (2008); Borselli et al. (2008); Panagos et al. (2015c)
Bare/sparse vegetation	Lands with exposed soil, sand, or rocks with vegetation cover never exceeding 10%.	0.10–0.50	Borselli et al. (2008); de Vente et al. (2009); Panagos et al. (2015c)
Urban and built-up	Land covered by buildings and other man-made structures such as roads.	0.0	de Vente et al. (2009); Pelacani et al. (2008)
Water bodies	Lakes, reservoirs, and rivers. Can be either fresh- or salt-water bodies.	0.0	de Vente et al. (2009); Pelacani et al. (2008)

Fenta et al., 2020



# COVER (C FACTOR): ARABLE LANDS

Crop group		C-factor
Cereal grains	Maize/sorghum	0.38
	Rice	0.15
	Other cereals	0.20
Legumes	Various	0.32
Oilseed group	Cotton	0.50
	Other oilseeds	0.28
Trees/fruit trees	Coffee	0.20
	Sugarcane	0.15

Fenta et al., 2020

- C factor varies by crop
- Values are for conventional ploughing, without crop residues or other measures to increase soil cover
- C factor reduced for different management practices:
  - Tillage practices (conservation or no tillage vs conventional tillage)
  - Crop residue retention
  - Cover cropping



# C<sub>MANAGEMENT</sub> FACTOR FOR ARABLE LAND

$$C_{management} = C_{tillage} \times C_{cover} \times C_{residues}$$

## Assumptions:

- Con. tillage = 65% erosion reduction
- Cover crops = 20% erosion reduction
- Crop residues = 12% erosion reduction

**Example:** Area with 30% of maize under conservation tillage, 25% of fields have cover crops and retain crop residues

Calculations based on erosion reduction potential and proportional coverage of the practice:

- $C_{tillage} = (0.35 \times 0.30) + (1 \times 0.7) = 0.805$
- $C_{cover} = (0.8 \times 0.25) + (1 - 0.25) = 0.950$
- $C_{residues} = (0.88 \times 0.25) + (1 - 0.25) = 0.970$
- $C_{management} = 0.805 \times 0.960 \times 0.976 = \mathbf{0.742}$

**Revised C factor for maize = 0.38 x 0.742 = 0.282**





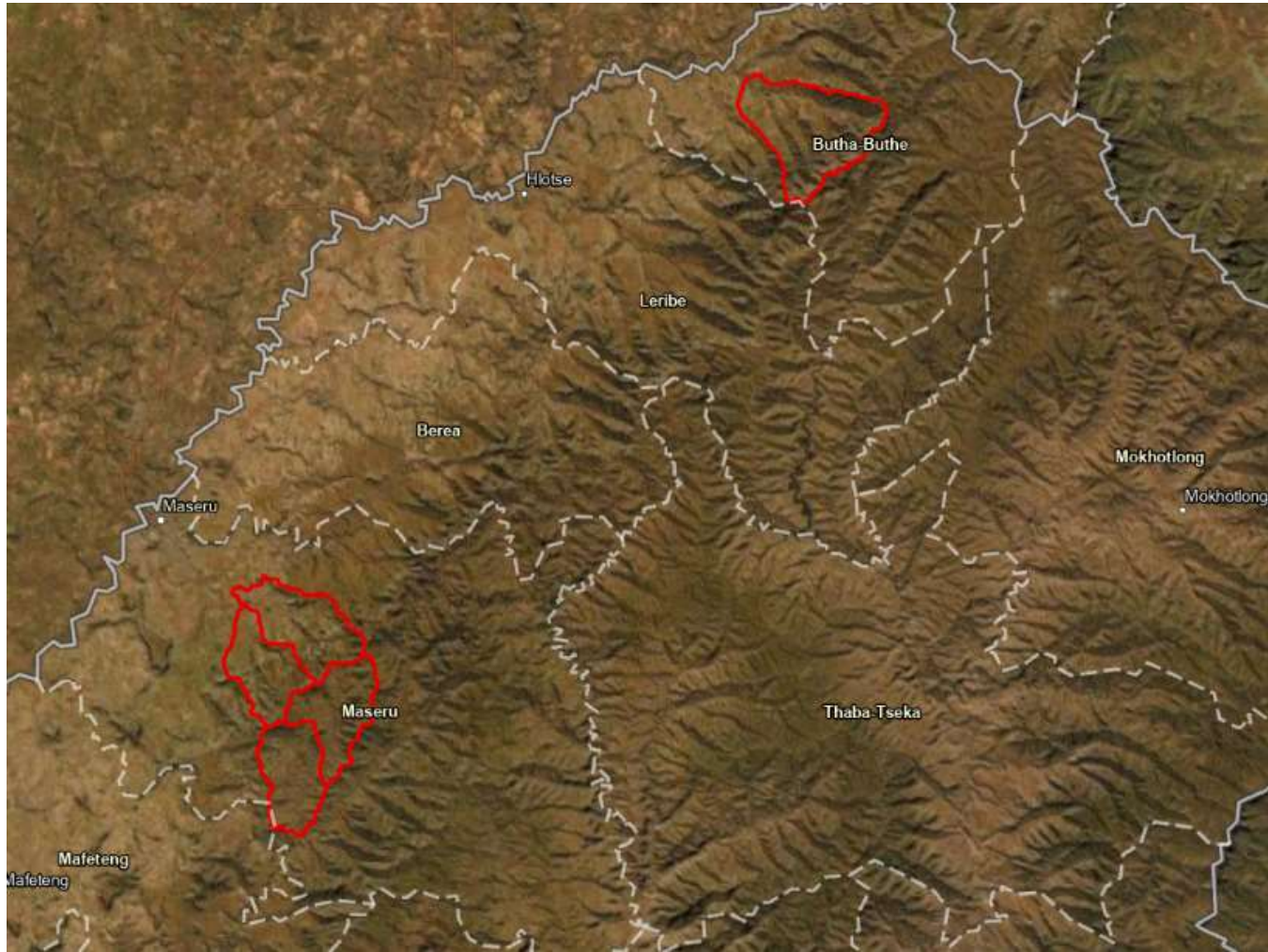
# CONSERVATION PRACTICES (P FACTOR)

Support Practice	P factor
Up & down slope	1.0
Contour ploughing	0.7
Contour ploughing with grass strips	0.5
Contour bunds*	0.4
Bench terraces*	0.2

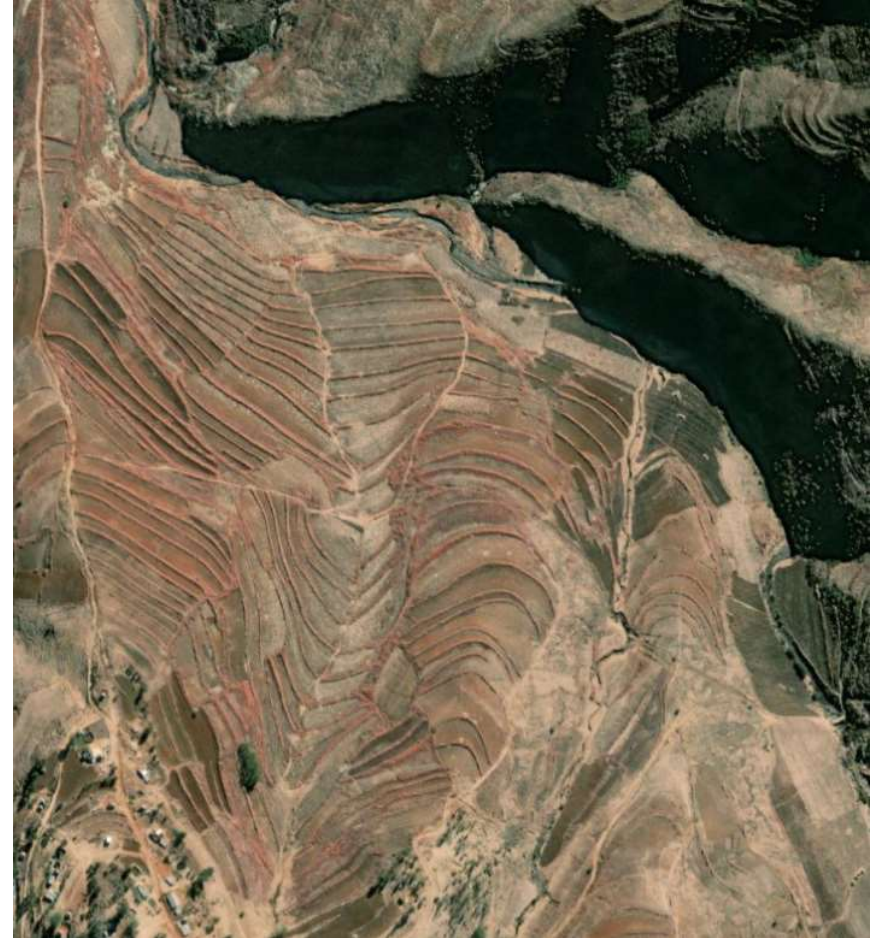
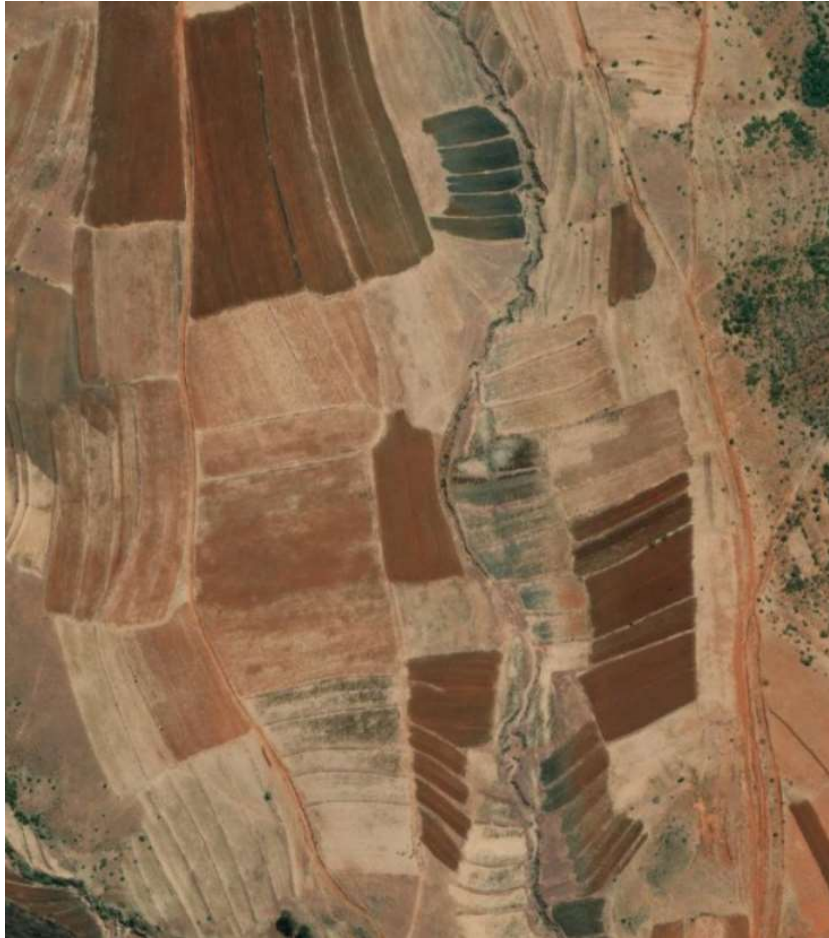
\*P factor estimates are for well designed and maintained structures, often not the case in practice!

- Relevant to agricultural lands
- Accounts for the influence of contour ploughing, terracing and other measures, relative to straight up and down slope farming
- Set to 1 for natural land cover
- Often hard to estimate at scale





# ESTIMATING P FACTOR



# EXAMPLE BIOPHYSICAL TABLE

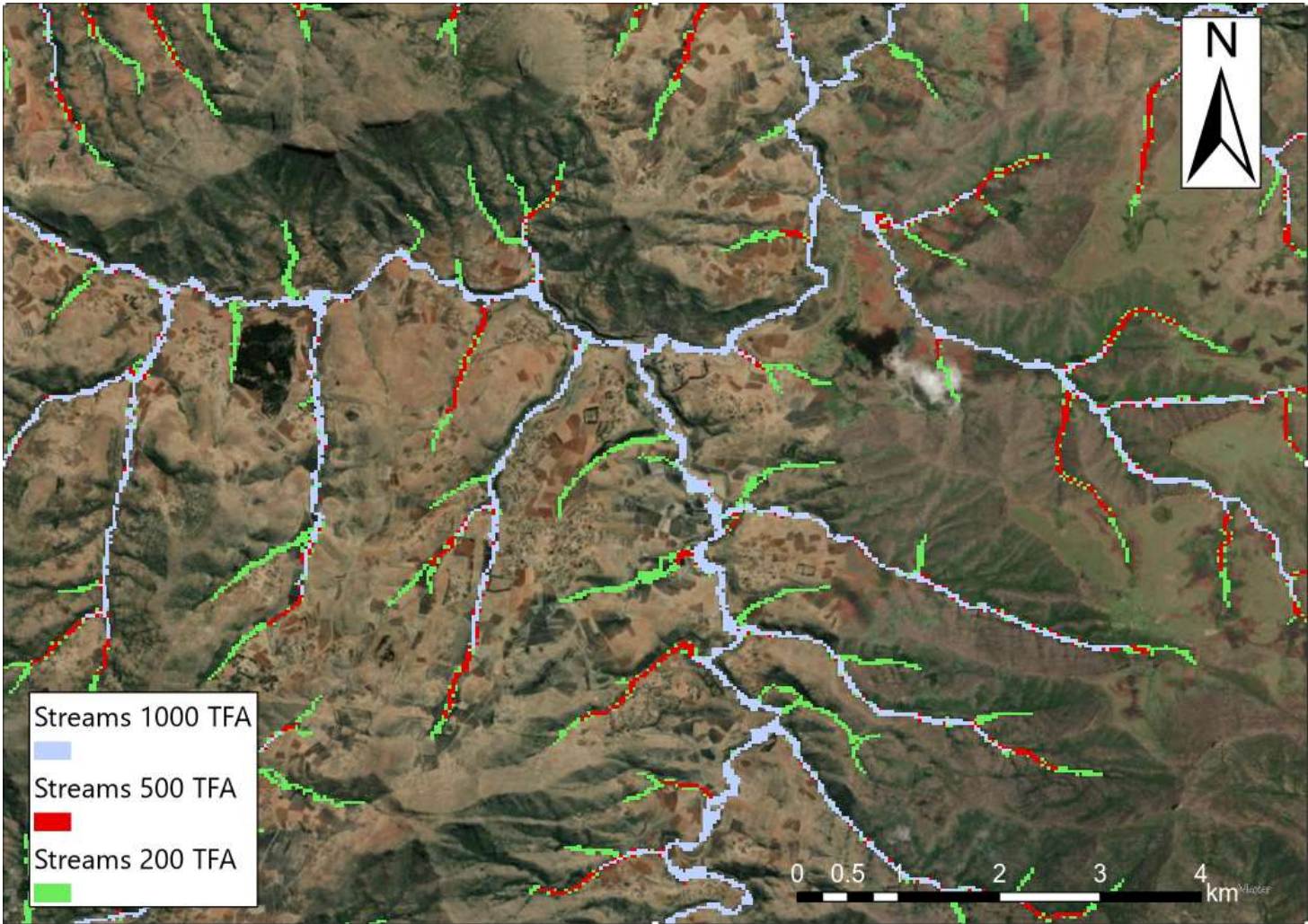
lucode	Descrip	usle_c	usle_p
1	Urban	0.05	1
2	Croplands	0.3	0.4
4	Forest/woodland	0.002	1
6	Water	0	1
7	Wetlands	0.01	1
9	Shrubland	0.01	1
10	Grassland	0.04	0.8
12	barren	0.4	1
14	Irrigated cropland	0.28	0.4
15	Gullies	1	1



# OTHER INPUTS AND PARAMETER SETTINGS

- **Threshold Flow Accumulation** - for stream delineation = the minimum number of upstream pixels which must drain into a given pixel for it to be considered a stream
- **Borselli  $K_b$  and  $IC_0$  parameters** – Advanced parameters for calibration and sensitivity analysis
- **Maximum *SDR* value** – For calibration in advanced studies, related to fraction of fine particles in topsoil
- **Maximum *L* value** - limits the value of the slope length parameter in the LS factor calculation





# INVEST SDR USER INTERFACE

Sediment Delivery Ratio × ⚙️

Workspace	<input type="text" value="F:\Luke_GIS\Lesotho Training\SDR\Baseline\Maseru AOI"/>	<input type="checkbox"/>
File Suffix (optional)	<input type="text" value="base"/>	<input checked="" type="checkbox"/>
Digital Elevation Model	<input type="text" value="F:\Luke_GIS\Lesotho Training\Data from Jorge\Rasters\NASA_S"/>	<input checked="" type="checkbox"/>
Erosivity	<input type="text" value="F:\Luke_GIS\Lesotho Training\Data from Jorge\EI_30\EI_30.tif"/>	<input checked="" type="checkbox"/>
Soil Erodibility	<input type="text" value="F:\Luke_GIS\Lesotho Training\SDR\k_factor.tif"/>	<input checked="" type="checkbox"/>
Land Use/Land Cover	<input type="text" value="F:\Luke_GIS\Lesotho Training\Data from Jorge\Rasters\LesothoLc"/>	<input type="checkbox"/>
Biophysical Table	<input type="text" value="F:\Luke_GIS\Lesotho Training\SDR\biophysical_baseline.csv"/>	<input checked="" type="checkbox"/>
Watersheds	<input type="text" value="F:\Luke_GIS\Lesotho Training\Catchments\aoi_maseru_prj.shp"/>	<input checked="" type="checkbox"/>
Drainages (optional)	<input type="text" value="raster"/>	<input checked="" type="checkbox"/>



# INVEST SDR USER INTERFACE

Drainages (optional)	<input type="text" value="raster"/>	✓	
Threshold Flow Accumulation (number of pixels)	<input type="text" value="1000"/>	✓	
Borselli K Parameter	<input type="text" value="2"/>	✓	
Maximum SDR Value	<input type="text" value="0.8"/>	✓	
Borselli IC0 Parameter	<input type="text" value="0.5"/>	✓	
Maximum L Value	<input type="text" value="128"/>	✓	

24







# TEA BREAK



# KEY MODEL OUTPUTS

Potential soil erosion (USLE)

Sediment export to watercourses

Sediment retention (relative to bare landscape) – Should be interpreted as a relative value

Sediment deposition

26



# CALIBRATION DATA

- Sediment export output can be compared with measured sediment yields
- Can use river sediment yield estimates or reservoir sedimentation studies
- River TSS or turbidity data can be converted to annual sediment load

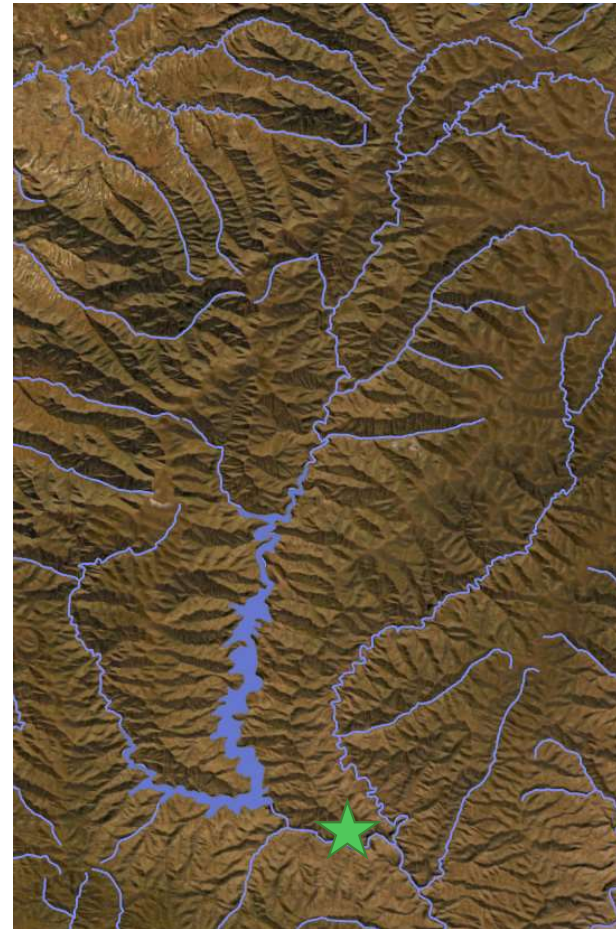


Tegos et al. 2018



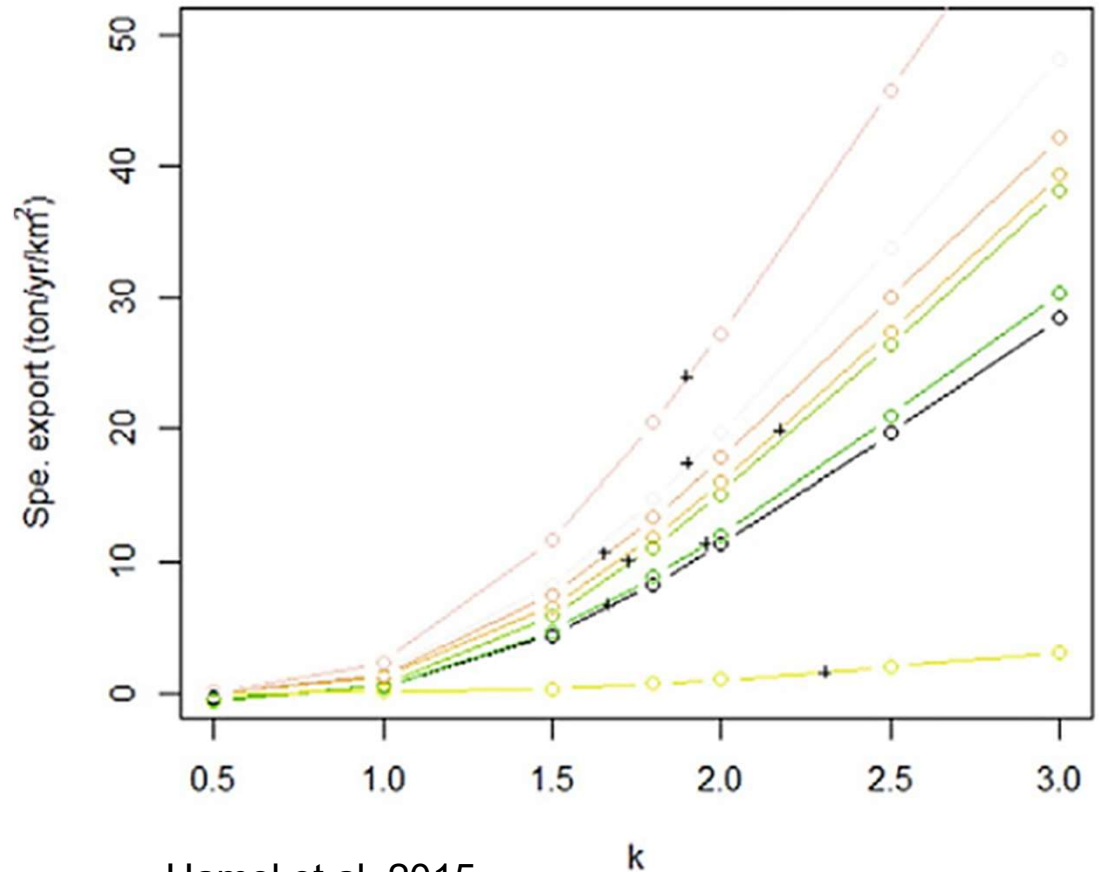
# CALIBRATION: IMPORTANT ISSUES

- May require delineation of reservoir or gauging station catchment areas
- May need to convert sediment from t to m<sup>3</sup>
- Be aware of sediment trapping by dams and omission of gully erosion and mass movements



# CALIBRATION APPROACH

- Ideally would have data from multiple sub-catchments
- Calculate model bias for single or multiple catchments
- Alter C and P factor values of different land cover classes
- Incremental adjustment of the Borselli K parameter to minimise model bias

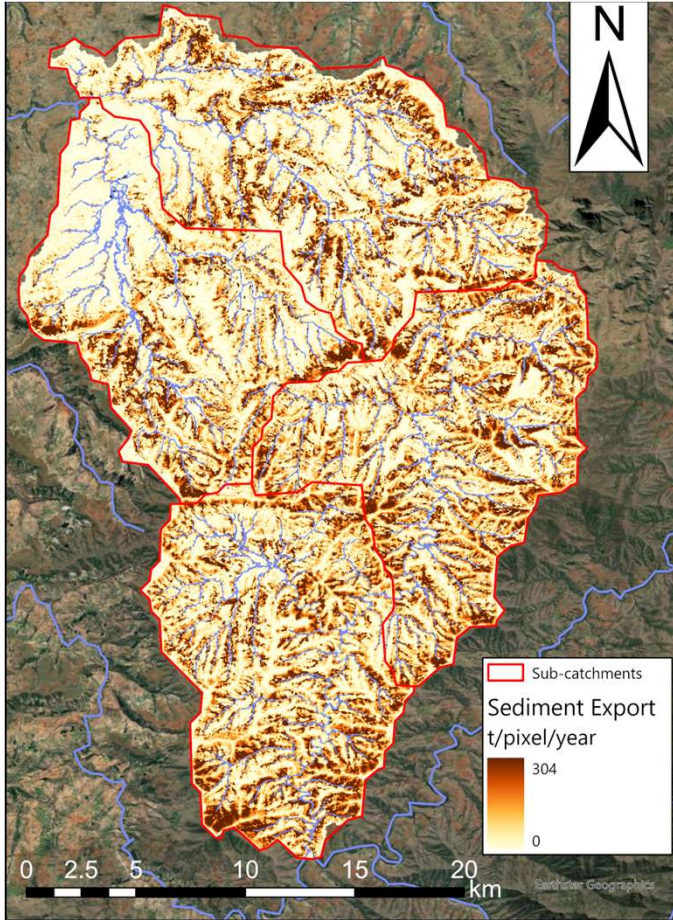
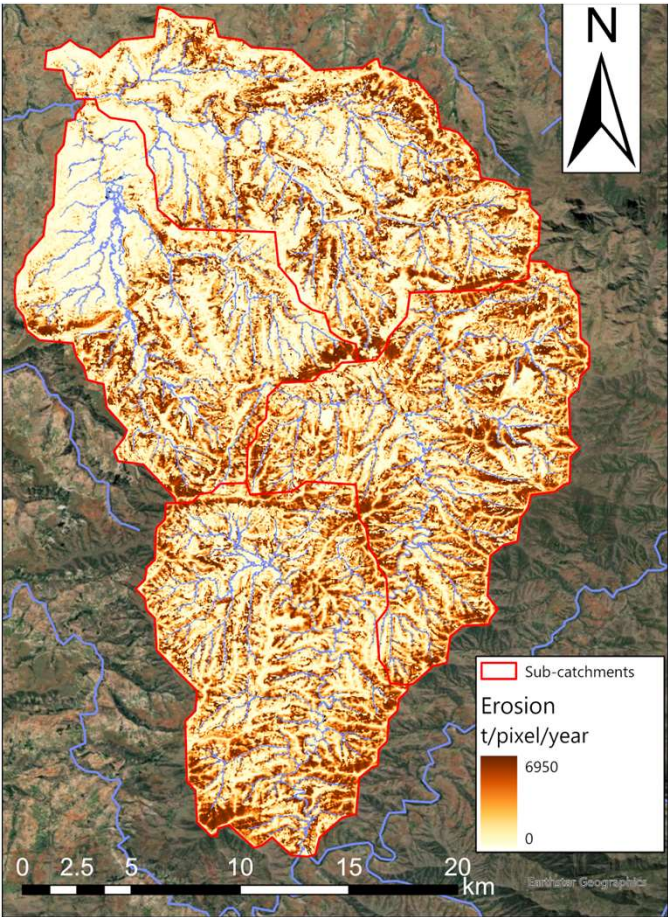


Hamel et al. 2015

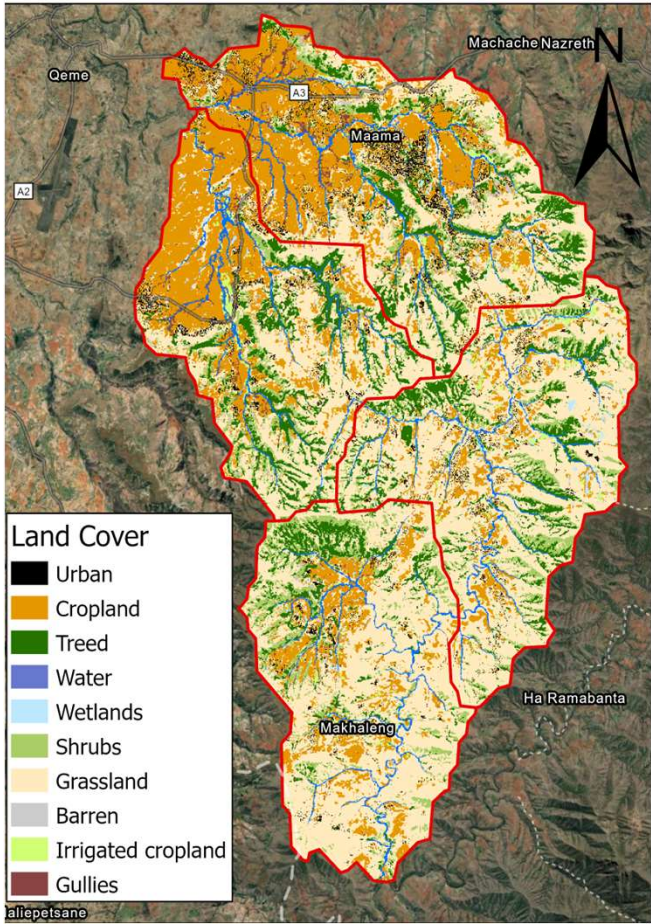
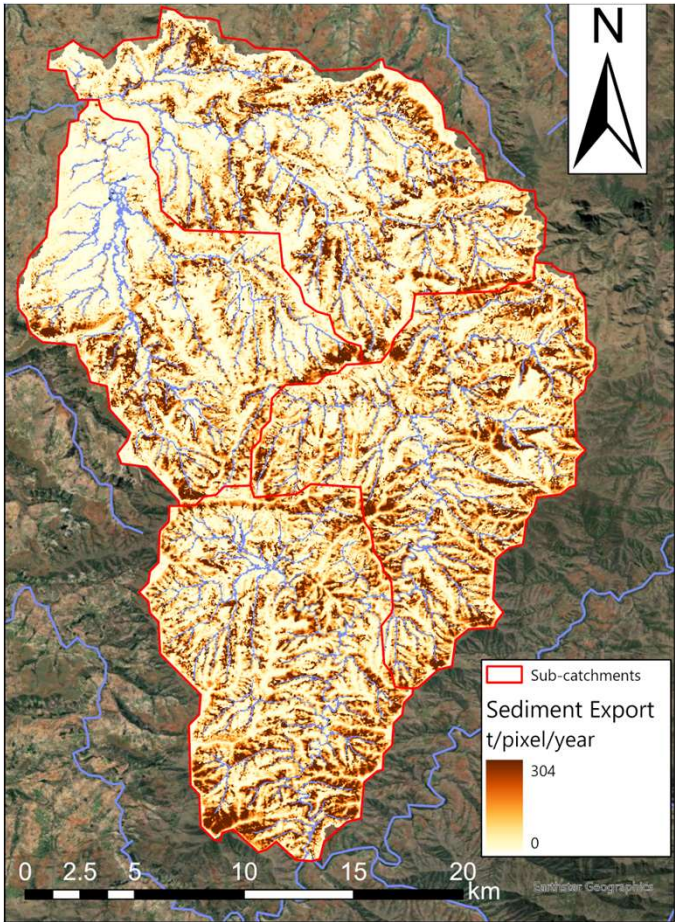
k



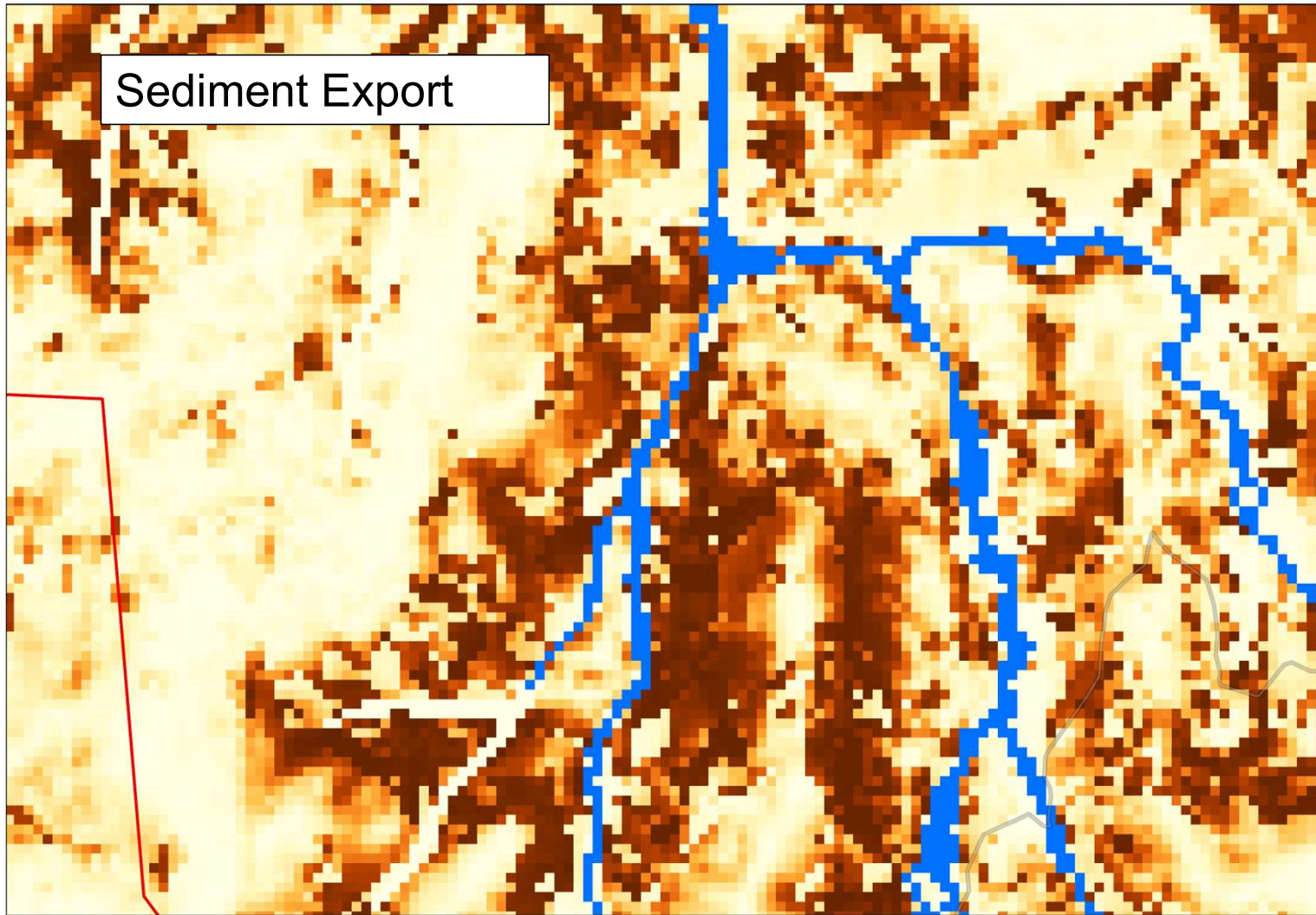
# INTERPRETING RESULTS



# INTERPRETING RESULTS

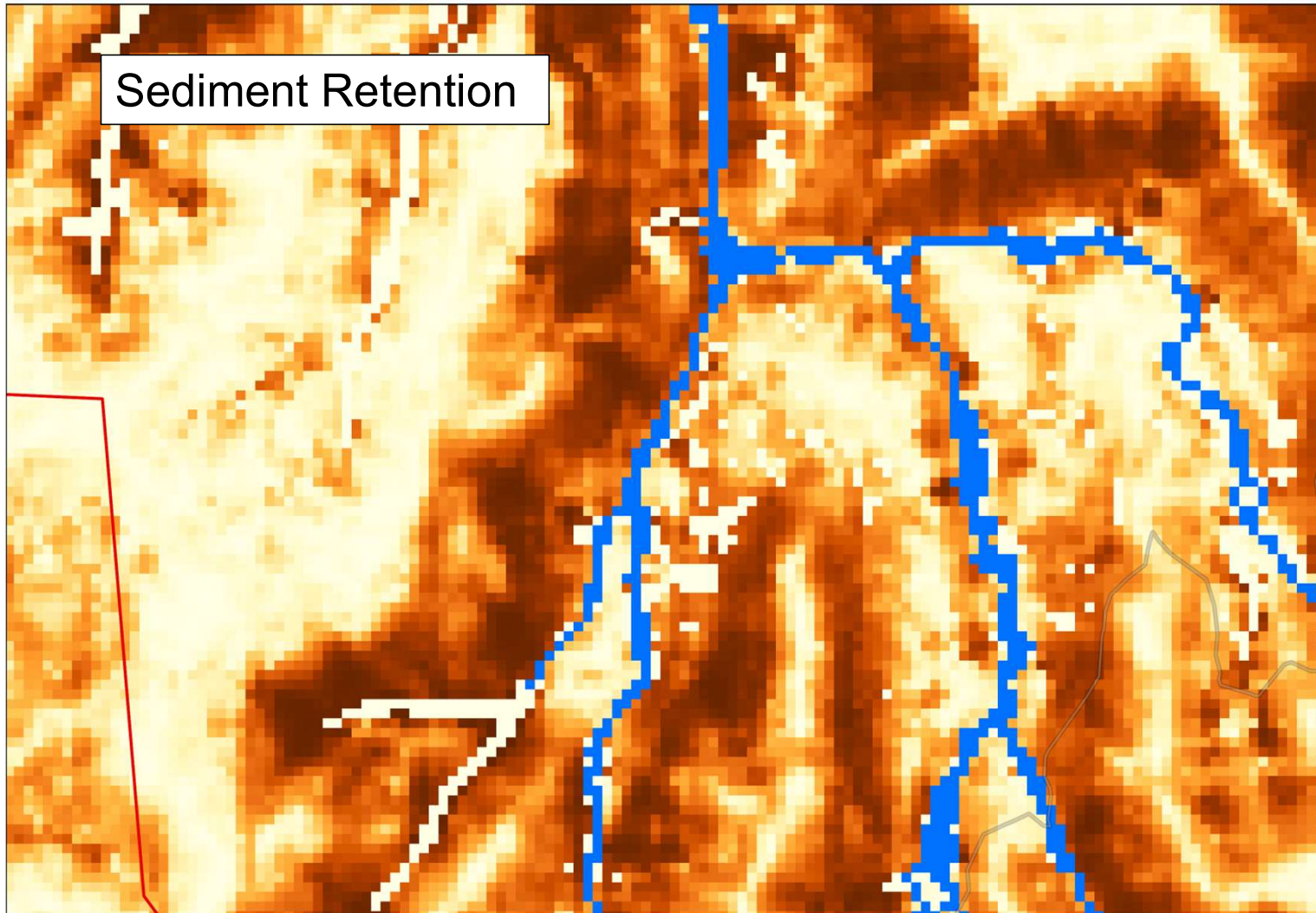


## Sediment Export

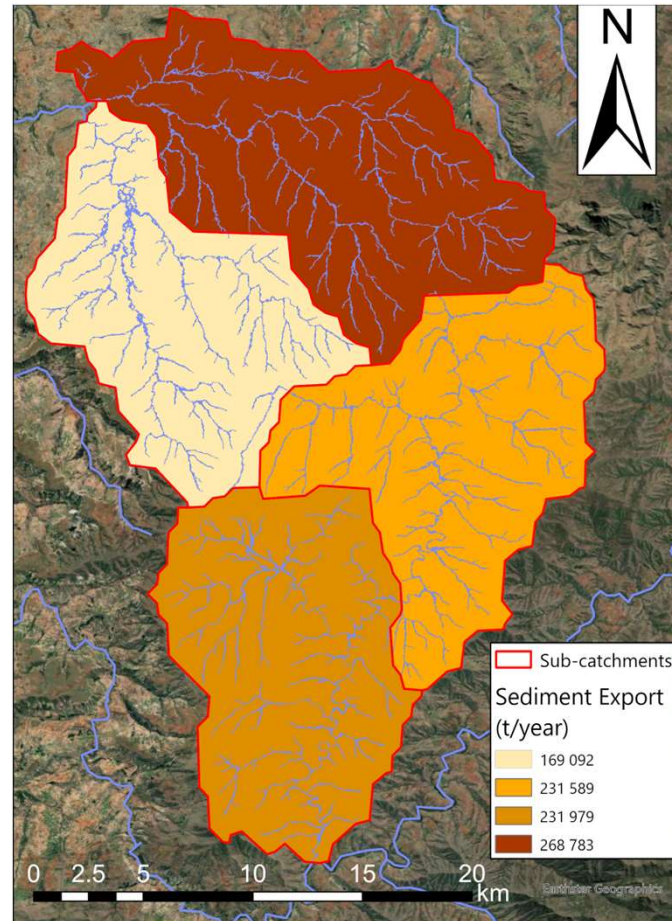




## Sediment Retention



# INTERPRETING RESULTS



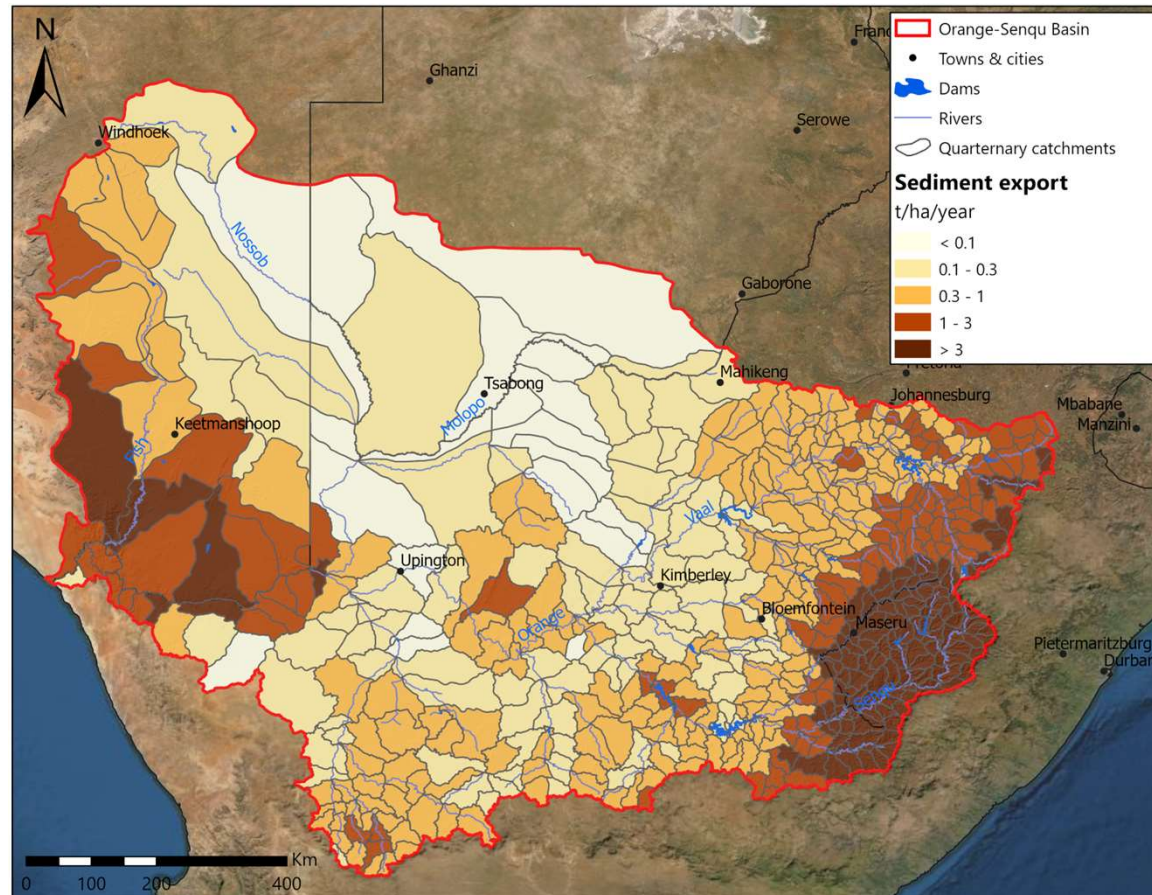
# USING ZONAL STATISTICS IN GIS

Land Cover	Sediment export (t/year)	Sed. Export (t/ha/year)	Sed. Retention (t/ha/year)
Urban	8,229	6.3	148.8
Croplands	253,585	20.0	94.1
Irrigated cropland	12,023	19.5	99.8
Treed	17,836	3.0	738.7
Water	0	0.0	146.4
Wetlands	1,926	2.0	251.3
Shrubland	68,808	14.4	580.6
Grassland	383,942	15.1	359.1
Barren	43,267	96.6	181.1
Gullies	111,829	132.2	0.0
<b>ALL</b>	<b>901,445</b>	<b>17.0</b>	<b>340.9</b>



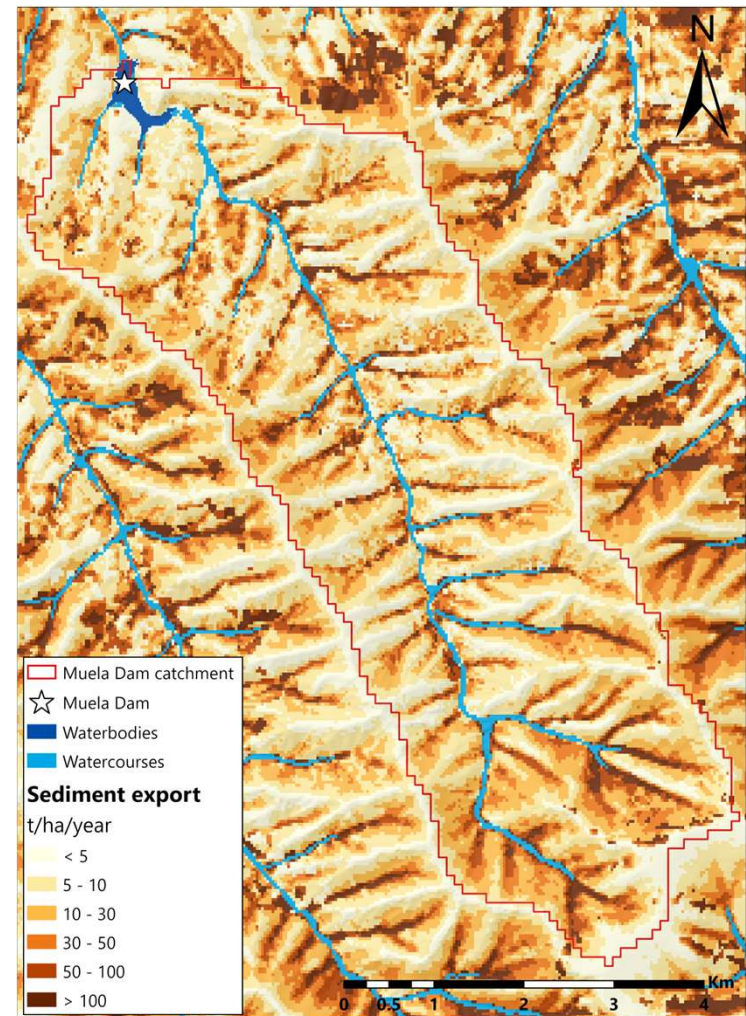
# SIMPLE VALIDATION EXAMPLE: ORANGE-SENQU BASIN

- Modelled export comparable to historical sediment yield estimates at Orange River mouth
- Model estimated 142 Mm<sup>3</sup>/year sediment export, compared to 120 Mm<sup>3</sup> **historical estimate**
- Historical estimate used to obtain sediment yield in absence of dams



# SIMPLE VALIDATION EXAMPLE: MUELA DAM

- Annual reservoir sedimentation rates estimated
- 15 400 m<sup>3</sup>/year (Khaba and Griffiths 2017), 30 000 m<sup>3</sup>/year (LHDA, 2018)
- Delineate dam catchment area and compare sediment export estimate from InVEST
- Adjusted C and P factors to obtain modelled estimate of 31 400 m<sup>3</sup>/year



# SELECTING IMPROVED LAND MANAGEMENT INTERVENTIONS



## Discussion Points

What interventions could be used to reduce erosion and sedimentation from farmland?

What interventions could improve grassland cover in degraded rangelands?



# DESIGNING AND ASSESSING FUTURE MANAGEMENT SCENARIOS

## Parameterising future management scenarios

- Changing land cover classes
- Adjust the C factor with restoration/degradation of natural habits or improved farming practices
- Adjust the P factor for arable lands
- Adjust R factor to reflect future rainfall change



## Assessing the impact of alternative management scenarios

- Compare sediment export to baseline or BAU scenario
- Differences can be valued e.g. avoided reservoir sedimentation
- Costs and benefits can be used for cost-benefit analysis



# EXAMPLE BIOPHYSICAL TABLE

lucode	Descrip	usle_c	usle_p
1	Urban	0.05	1
2	Croplands	0.3	0.7
4	Forest/woodland	0.005	1
6	Water	0	1
7	Wetlands	0.01	1
9	Shrubland	0.03	1
10	Grassland	0.05	1
12	barren	0.4	1
14	Irrigated cropland	0.28	0.7
15	Gullies	1	1





# BUSINESS AS USUAL SCENARIO

- Assume, for example, gullies will expand
- Can add in a climate change assumption e.g. 10% increase in rainfall erosivity
- For a more advanced study, ideally would do a land cover change projection and/or evaluation and projection of land degradation trends



# PARAMETERS FOR A SIMPLE FUTURE RESTORATION SCENARIO

Improved grass cover reduces C factor for grassland from 0.05 to 0.035

Assume 50% of farmers adopt conservation tillage:

$$C_{\text{tillage}} \text{ factor} = (0.5 \times 0.35) + 0.5 = 0.675$$

$$C \text{ factor for cropland becomes } 0.30 \times 0.675 = \mathbf{0.203}$$

Improved erosion control measures (e.g. stone lines, grass strips, no up/downslope ploughing) reduces P factor for cropland from 0.7 to 0.5

Assume climate change will increase rainfall erosivity (R factor) by 10%

