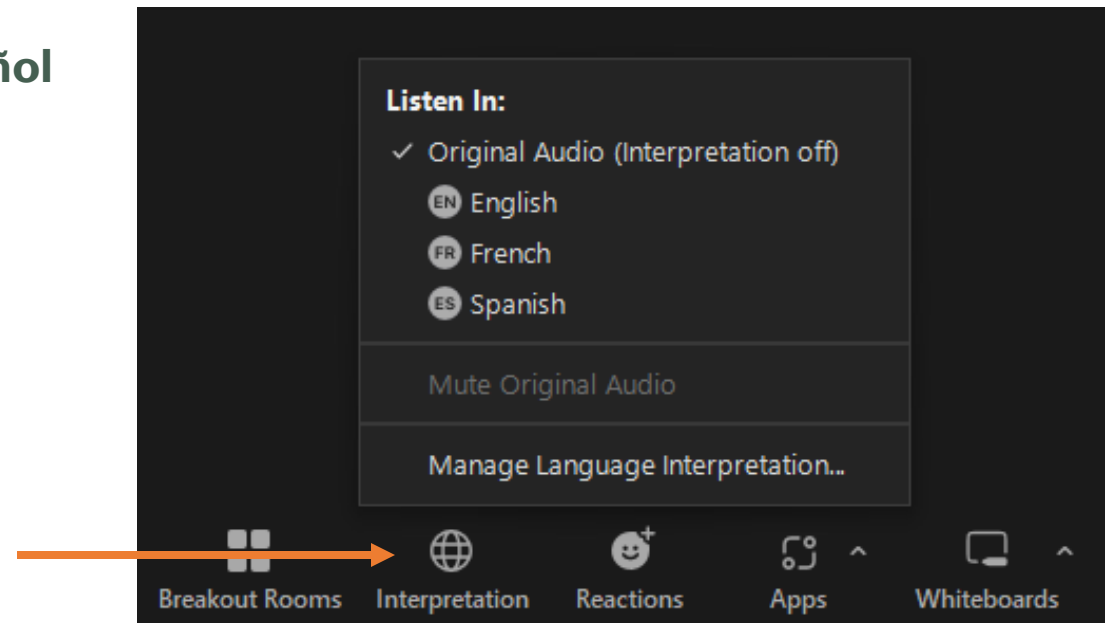# Join in English, French, or Spanish

Interprétation en français / Interpretación al español

1. Click on the globe symbol.
2. Select **French, Spanish,** or **English** audio.

1. Cliquez sur le symbole du monde.
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1. Haga click sobre el símbolo del mundo.
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Listen In:
- ✓ Original Audio (Interpretation off)
  - EN English
  - FR French
  - ES Spanish

Mute Original Audio

Manage Language Interpretation...

Breakout Rooms | Interpretation | Reactions | Apps | Whiteboards

Countering Corruption in
Wildlife Trade & Conservation

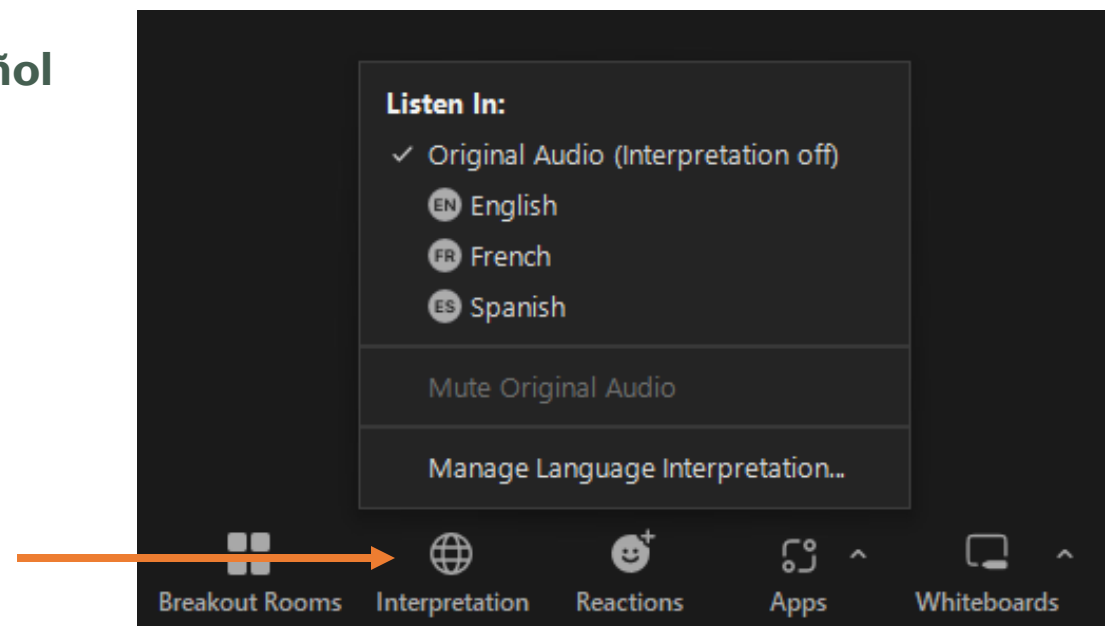SUPPORTED BY · LED BY · IN PARTNERSHIP WITH

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GLOBAL WILDLIFE PROGRAM

Micol Martini

Senior Expert and Technical Lead,
Governance Practice, WWF International

Taking a corruption lens to counter wildlife trafficking

Insights from evidence and experience

Why we focus on corruption in IWT

Corruption facilitates/enables wildlife crime at each stage in supply chain:
- Bribery/complicity/involvement of park admin, rangers, military officials;
- Corruption related to documentation: licensing, permits, certificates;
- Bribery of at transport hubs and in transit, e.g. of police, export/customs officials;
- Abuse of power via gaps in legal framework /capacity/institutions, opacity of ownership.

Also can **undermine ability to address / respond to wildlife crime**:
- RoL institutions susceptible to bribes, limiting investigation, prosecution, enforcement;
- Theft of confiscated goods;
- Political elites involved may obfuscate ownership in enterprises involved;
- Such political corruption can further stymie effective prevention / enforcement.

Difficult to make systemic change to IWT drivers without examining role of corruption

Note on **unintended consequences:** "increasing [the] capacity of a corrupt system is not only ineffective but counter-productive".

📖 **Building knowledge** 🤝 **Communicating for change** 🔭 **Applying knowledge, testing hypotheses**

Better anti-corruption understanding w/ 150+ resources / tools developed
- Conduct situation analysis
- ID entry-points along supply-chains
- Build integrity in LE and the judiciary
- Target high-level offenders via financial investigations;
- Inclusion of communities where wildlife management neglects needs
- Improve open governance; Address harmful social norms

Institutionalising our work
- Initiatives in **28 WWF countries/regions**
- Countering Environmental Corruption **Practitioners Forum** 500+ Members

JOIN US

Six country pilots and $20m in Associate Awards
- **Argentina** - electronic fisheries info system; advocating traceability law.
- **Guatemala** - situation analysis on jaguar poaching / trade.
- **Kenya** – standard operating procedures in park management
- **Nepal** – inclusive oversight of community forest user groups
- **Peru** - electronic permitting app to reduce bribery in artisanal fisheries

TNRC Associate Awards

LEADER AWARD: Building knowledge, communicating for change, testing hypotheses, institutionalization

10M USD

HONDURAS ASSOCIATE AWARD: Strengthening **institutional oversight mechanisms** to improve transparency, strengthen the capacity of judges, prosecutors, and investigators, and increase **awareness and participation of citizens** to reduce conservation crimes

5M USD

MEKONG ASSOCIATE AWARD: Strengthening civil society's ability to engage in natural resource governance and **improving access to environmental data** from public and private actors

6.5M USD

COLOMBIA ASSOCIATE AWARD: Assessing **political, structural, and institutional factors** that create opportunities for/vulnerabilities to corruption that drives deforestation

700K USD

ECUADOR ASSOCIATE AWARD: Identifying and addressing corruption that undermines conservation in the Amazon forest and the Coastal/Galapagos marine regions

4M USD

MADAGASCAR ASSOCIATE AWARD: Anti-corruption for combating wildlife trafficking, including efforts to increase judiciary effectiveness and law enforcement capacity
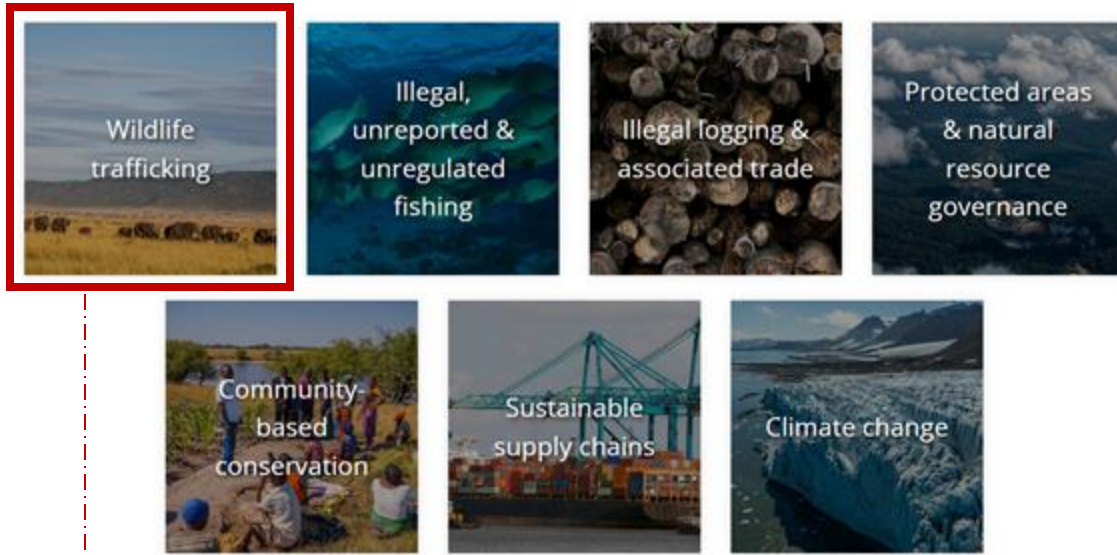
3.25M USD

Where to start

Countering corruption that facilitates wildlife trafficking

Thank you!

Targeting Natural Resource Corruption

Harnessing knowledge, generating evidence, and supporting innovative policy and practice for more effective anti-corruption programming

© Georgina Goodwin / Shoot The Earth / WWF-UK; © Hkun Lat / WWF-Aus; © naturepl.com / Jen Guyton / WWF; © Brian J. Skerry / National Geographic Stock / WWF

USAID FROM THE AMERICAN PEOPLE · WWF · CMI U4 ANTI-CORRUPTION RESOURCE CENTRE · TraCCC · TRAFFIC · BASEL INSTITUTE ON GOVERNANCE

Daniela Sota Valdivia

Crime Prevention and Criminal Justice
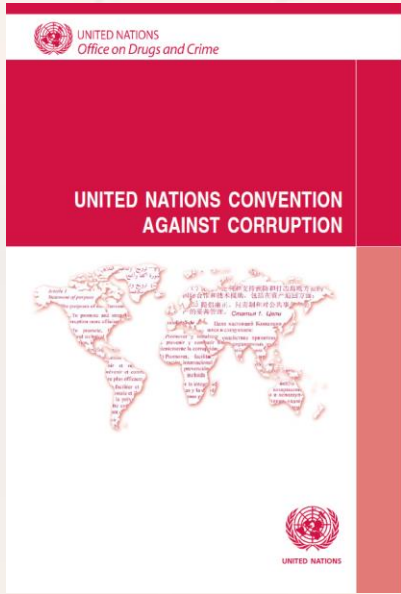Associate, United Nations Office on Drugs and
Crime (UNODC)

PROTECTING WILDLIFE BY PREVENTING CORRUPTION: CORRUPTION RISK MANAGEMENT

Presented by
Daniela Sota Valdivia

UNITED NATIONS
Office on Drugs and Crime

UNITED NATIONS CONVENTION
AGAINST CORRUPTION

UNITED NATIONS

UNODC approach

CORRUPTION RISK MANAGEMENT

1. Based on ISO 31000
2. Applied to public organizations
3. Targeted at the organizational level
4. Does not assume unlimited human and financial resources
5. Considers political/managerial will
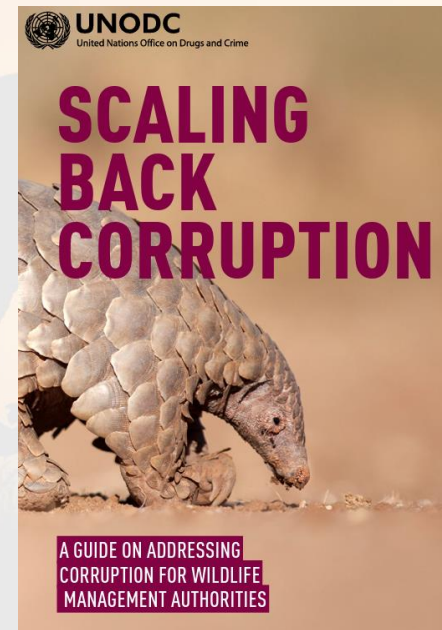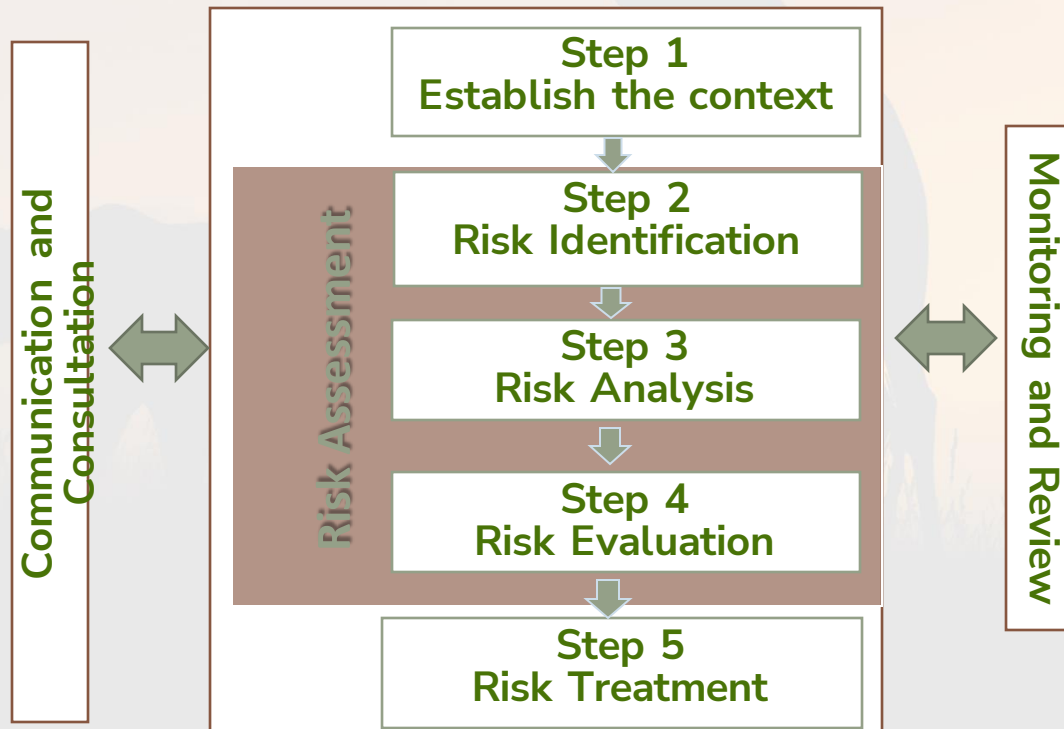6. "Even the smallest actions are steps in the right direction"
7. Institutionalize CRM within strategic and operational processes
8. Create institutional capacity to manage ongoing CRM process

Corruption risk management

UNODC methodology

Communication and Consultation	

Risk Assessment

Step 1
Establish the context

Step 2
Risk Identification

Step 3
Risk Analysis

Step 4
Risk Evaluation

Step 5
Risk Treatment

Monitoring and Review

UNODC
United Nations Office on Drugs and Crime

SCALING BACK CORRUPTION

A GUIDE ON ADDRESSING CORRUPTION FOR WILDLIFE MANAGEMENT AUTHORITIES

7 wildlife authorities

20 countries

30 public organizations

Mitigation strategies

Examples

"The perfect is the enemy of the good"

Process

- Enhanced transparency in procurement systems and processes
- Improved human resources processes
- Advanced compilation and analysis of information to combat poaching

Process

- Increased procedural transparency, including with respect to eligibility criteria, fees and systems related to permits and licenses
- Implementation of mechanisms for complaint intake and whistle-blowing
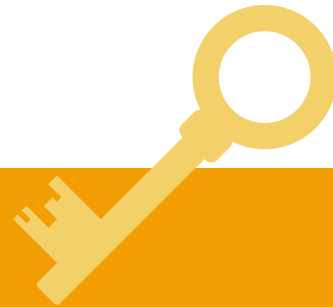
People

- Development of codes of conduct;
- Preparation, dissemination and analyses of surveys covering various topics, such as staff morale and the experience of corruption
- Integrity essay contest

Takeaways

The challenge
Measures that aim to protect wildlife need to be rooted in the understanding that corruption can facilitate wildlife trafficking, undermine conservation efforts.

Prevention is key
Anti-corruption measures allow to protect wildlife from being lost and foster their sustainable management.

Better together
Building on each other's capacities, experiences, lessons learned, etc.
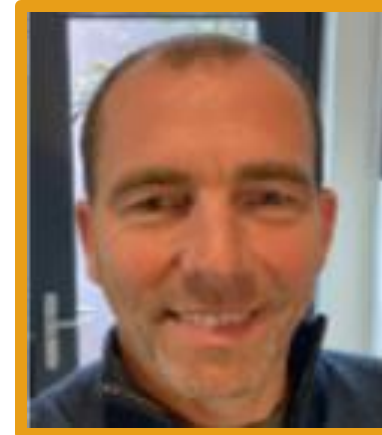
02/11/2023

THANKS

daniela.sotavaldivia@un.org

Alastair Nelson

Managing Director, Conservation Synergies and advisor to the Head Ranger of Kruger National Park on the Integrity Management Plan
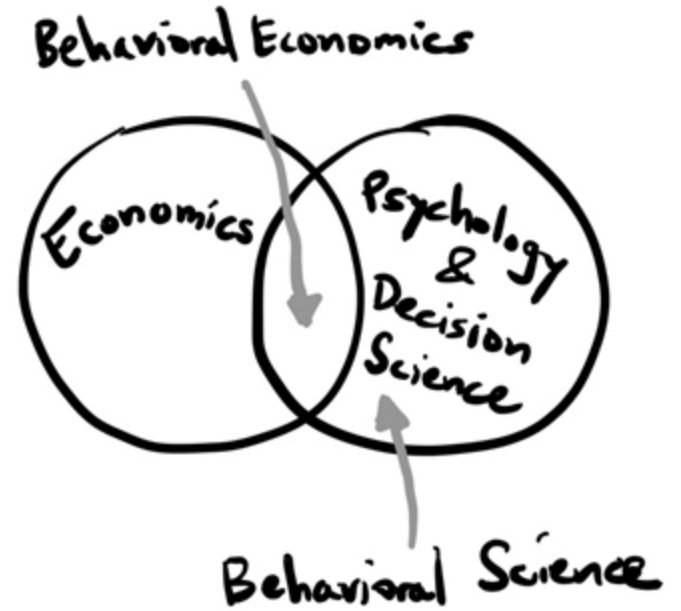
Organisational resilience and individual integrity to counter corruption in KNP's Ranger Services
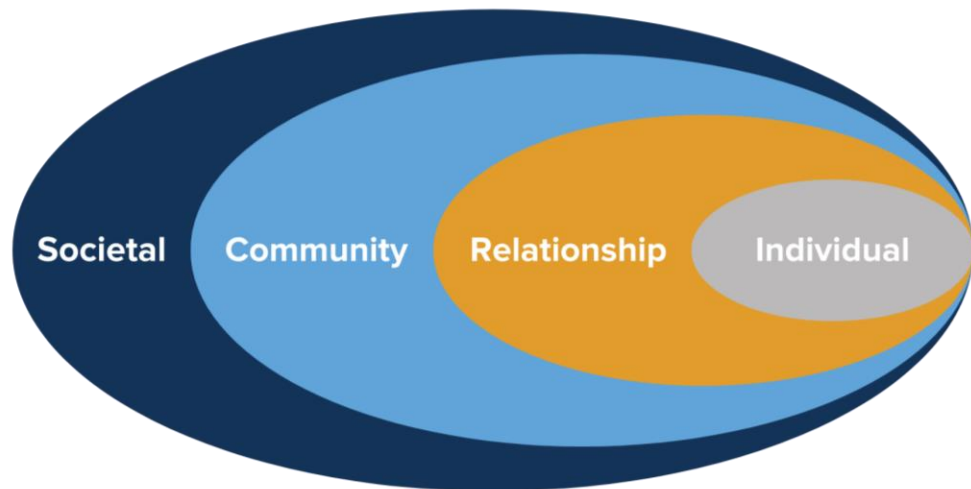

Understanding drivers; developing & implementing an Integrity Management Plan

Alastair Nelson, Cathy Dreyer, Bruce Leslie, Derick Mashale, Don English, Steven Whitfield, Tinyiko Golele, Jo Shaw, Lindie Botha, Lucille Greeff et. al.

Five approaches to tackling corruption

1. Investigating & prosecuting corruption

2. Exposing corruption

3. Organisational strengthening

4. Organisational culture and integrity

5. Focus on the individual, use Behavioural Sciences

The social ecological model

- <u>Individual:</u> motivations, feelings, values (autonomy, mastery and purpose)

- <u>Relationship:</u> teams, leadership (belonging, cohesion, purpose)

- <u>Community:</u> organisations – culture, values, structures, rules, environments (belonging, cohesion, purpose, structure)

- <u>Societal:</u> norms, higher level governance, policies, structural (sense of living in well governed fair, equitable space)

Interviews

1. Regional / social factors

   - Changing socio-cultural, weak governance & high levels of criminality & corruption

2. Kruger / SANParks factors

   - Perception of corruption in many places, and of impunity & lack of consequences

3. Individual factors

   - Almost universal perception of breakdown in trust amongst key staff in KNP, driven by impact of anti-poaching tactics

   - Debt, cost of living

   - Values, relationships

KNP RS-IMP

Strengthening the organization (RS)

Values

Attributes

Leadership

Selection

Pay

Helping the individual

Mental & physical

health

Debt & finances

Legal support

Approaches & risk

Polygraphing

Policy, SOP's & plan

Fair & transparent
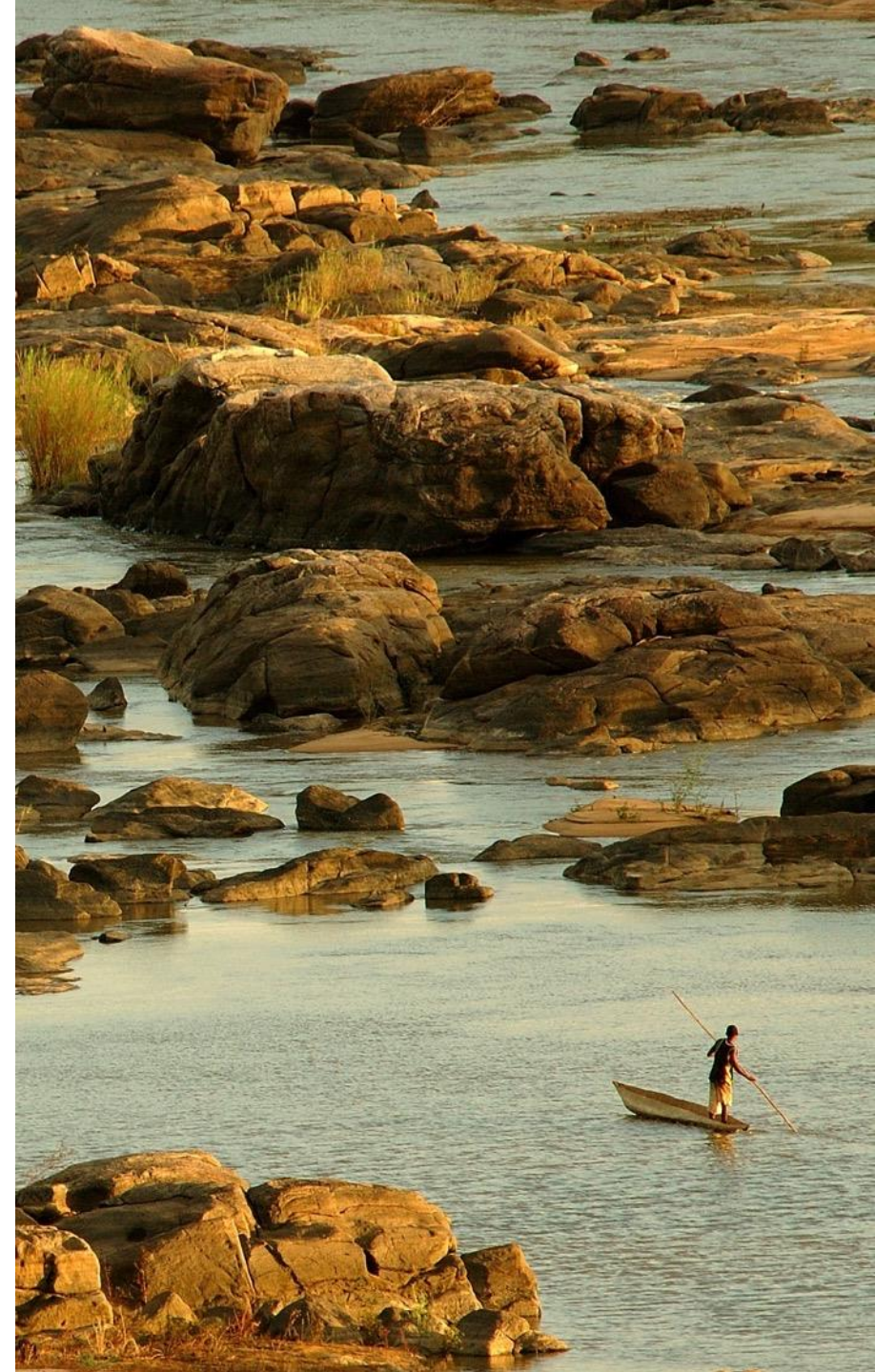
Reduce risk

Investigations & Prosecution

NISCWT

Supporting Actions:

Embed in SANParks | Action oriented monitoring | Project Management & implementation

General framework for this approach

1. Shared appreciation of a 'problem'

2. Focused interviews & analysis using social-ecological model as lens

3. Co-develop practical actions addressing problem, drivers & to build resilience

   a. Draw from 5 approaches

   b. Recognize organizational & individual dynamics

Questions

Alexandra Habershon

Program Manager and Global Lead for
Anticorruption, Governance Global Practice,
World Bank

New GWP E-LIBRARY
https://spatialagent.org/GWPCounteringGreenCorruption/

COUNTERING CORRUPTION IN WILDLIFE TRADE AND CONSERVATION

SUPPORTED BY
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WORLD BANK GROUP

GLOBAL WILDLIFE PROGRAM

Filter by Type of Resource

| Show All | Overview | Report | Good Practices | Article | Guidance | Case Studies | Resource Hub | Online Course |

Filter by Corruption Theme

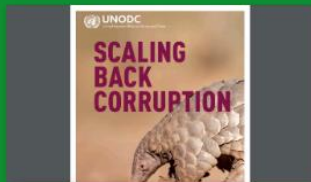| Show All | Corruption Risk Management | Corruption Risk Assessment | Counter Corruption Best Practices | Social Behavior Change Approaches | Gender and Corruption | All |

Filter by Region

| Show All | Global | Asia | Africa |

Filter by Language

| Show All | English | Spanish | French | German | Portuguese |

Filter by Keywords

Scaling back corruption: A guide on addressing corruption for wildlife management authorities
2019

Preventing and combating corruption as it relates to crimes that have an impact on the environment: An overview
2021

Strengthening governance and reducing corruption risks to tackle illegal wildlife trade: Lessons learned from East and Southern Africa
2018

Enhancing government effectiveness and transparency: The fight against corruption
2020

KEY FEATURES:

- A collection of the most authoritative and accessible partner resources developed in this field

- 5 customizable search filters (by theme, type of resource, region, language, keyword)

- For practitioners engaged in addressing issues such as illegal wildlife trade, human-wildlife conflicts, or the promotion of sustainable wildlife-based economies and protected areas management

To recommend additional resources for inclusion email gwp-info@worldbank.org

Thank you!

Visit our website at: https://www.worldbank.org/en/programs/global-wildlife-program

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