



CLIMATE SUPPORT FACILITY



# MONITORING & EVALUATION

MAY 2021



WORLD BANK GROUP  
Climate Change



## THE CSF'S APPROACH TO M&E

The Climate Support Facility's (CSF) overarching objective is to support developing countries accelerate their transition to low-emissions and climate-resilient development and elevate the decarbonization agenda. This note describes the approach of the CSF to measure and evaluate its activities and results against this objective.

The CSF provides technical assistance, innovative solutions, and capacity building to client countries under the priority areas of the CSF to enable the action required to meet its overarching objective. In concrete terms, CSF grants are used by World Bank teams to undertake technical studies; policy, institutional and project advisory services; consultation processes and others, where outputs generate medium-term outcomes on investment, policy and institutions. In the longer term, it is expected that the CSF will have a positive impact on the ability of client countries to transition to low emissions and climate-resilient development and deliver on their Nationally Determined Contributions (NDCs) and LTSs Long-Term Strategies (LTSs) ([see Figure 1](#)).

An advantage of the CSF model is its direct link to the World Bank's engagement with client countries, which provides an avenue to deliver results by strengthening climate change within existing operations and/or identifying and developing new operations on climate change. The CSFs outputs are largely generated in the context of ongoing or planned activities such as investment and policy lending, country-level diagnostics, or Country Partnership Frameworks (known as CPFs).

Results achieved through CSF grants will be contextualized within the World Bank's integrated approach for a strong and durable recovery and growth through green, resilient, and inclusive development, known as GRID. Data will be aggregated across the three dimensions of GRID<sup>1</sup>. Green (which refers to mitigation action); Resilient (which refers to adaptation and climate risk); and Inclusive (which refers to social issues). This dimension also covers the mitigation, adaptation, and social context of NDCs and LTS.

To measure and report on progress, the CSF will approach its monitoring and evaluation (M&E) through monitoring outputs, evaluating outcomes and impacts and measuring global impact ([see Figure 1](#)).

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<sup>1</sup> <https://thedocs.worldbank.org/en/doc/9385bfef1c330ed6ed972dd9e70d0fb7-0200022021/green-resilient-and-inclusive-development-grid>

Figure 1. Summary of CSF's Results Chain



### HOW THE CSF IS TRACKING PROGRESS

Progress under the CSF Work Plan will be measured by a Results Framework, updated as needed over time, including the developed success indicators from the M&E Technical Working Group<sup>2</sup>, that describes activities, expected outputs, and outcomes achieved through CSF grants (see Annex 1). The CSF Results Framework articulates short-term outputs with medium-term outcomes and long-term impacts to showcase how climate action enables countries to realize the ambition to meet the objectives of the Paris Agreement.

In addition to using the Results Framework, and with a view to capturing a broader set of results, World Bank teams will also be requested to report and describe the impact that CSF grants have had on, as relevant:

- The World Bank's portfolio
- Leveraging of financial resources
- National COVID-19 recovery process
- Overall national climate efforts (e.g., in terms of net emission reductions, resilience or decarbonization)
- Paris-related ambition, including NDCs and LTSs
- Beneficiaries of grants
- Other transformational impacts

<sup>2</sup> The M&E Technical Working Group was created in May 2021 per request of the AC Meeting held in March 2021.

## MONITORING ACTIVITIES AND OUTPUTS

Monitoring of grant activities and their outputs is undertaken through the following tools:

- 1) A Grant Proposal (submitted as the application for the grant), which requests teams to describe the objectives, components, and other aspects of the project.
- 2) A Progress Reporting Template (submitted by implementing teams on a biannual basis), which requests teams to report on the relevant indicators of the Results Framework as well as the impact of CSF grants as described above.<sup>3</sup>
- 3) An activity tracking tool which will provide an overview of planned and current activities for FY21-FY23 (currently in development).
- 4) A monitoring database that allows for real-time data collection and reporting (currently in development).

## EVALUATING OUTCOMES AND IMPACTS

The CSF will monitor and evaluate the contribution of the intermediate and long-term outcomes the Program generates from the indicators in the Results Framework as well as other information gathered through the reporting template. It will also plan to commission independent experts in the M&E, climate, and resilience fields to evaluate sample countries where the CSF works.

Research and analysis will be undertaken by conducting studies in CSF countries that possess a range of country contexts and climate profiles for analysis on different geographic, political, and climate scopes. These evaluations will also continue to develop new findings, recommendations, and way forward to build capacity and knowledge to improve and support resilience-building methodologies, interventions, and systems. Wherever possible, these evaluations will build upon existing efforts to evaluate and test theories of change in CSF-supported projects.

## MEASURING GLOBAL-LEVEL IMPACT

At the global level, the CSF will contribute to worldwide-efforts to measure and understand longer-term trends in climate impacts, reduced emissions, and increased resilience. Methodological options for this are under examination, most notably in the context of the 2050 agenda.

## REPORTING ON PROGRESS

The CSF will report on results under an annual monitoring and evaluation cycle as previously mentioned. This includes the success indicators developed through the M&E Technical Working Group. Through this cycle, donors and other stakeholders will receive timely information on the performance and impact of the projects (see Figure 1). The CSF's strategy includes a commitment to report regularly on results to its donors, partner countries and other stakeholders. Accordingly, the CSF will scale up its capacity to monitor, evaluate, and report results as a key aspect of the Work Plan. The objective is to establish methodologies and systems that can provide useful inputs into resource allocation decisions, as well as assess the effectiveness of CSF interventions to achieve results and generate lessons for learning and improvement over time.

Additionally, the CSF will report annually on progress against the Results Framework. Information will be generated at three main levels: (i) input of financing and other resources; (ii) output of projects and programs; and (iii) contribution to outcomes. The CSF will report its results through an Annual Report as well as specific reports. A key feature of the monitoring and evaluation process will be to establish feedback loops to ensure critical learnings are applied to future programs and development objectives on which financing decisions are based.

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<sup>3</sup> The template includes background information by grant, leveraging and mobilization details, beneficiary information, operational information, lessons learned, overall impact, and specific quantitative and qualitative fields to be able to aggregate information across pillars and themes.

Figure 2. Annual Monitoring and Reporting Cycle



Implementation partners will report on activities and outputs achieved every six months. The CSF will continue to review its grants management system and using standard indicators by pillar, provide the necessary data and analysis. The CSF will track and aggregate the information and will produce reports to donors on an annual basis.

This approach to M&E brings together both direct and indirect results of CSF grants to ensure that our partners gain an overview of the overall impact of the contributions made to global efforts to address climate change. The CSF team will regularly liaise with its stakeholders to improve the identification and reporting of its results. M&E is a critical piece of the CSF’s work to be able to track progress and report on the impact made which is fundamental for understanding the technical lessons learned, scaling up climate-resilience building measures, and broadening the reach of the program.

### NEXT STEPS

The Results Framework, together with the overall reporting requirements, will be the starting point for initiating the collection of results from CSF grants. Based upon experiences with reporting during FY22, all reporting requirements will be reviewed with a view to improving and harmonizing the reporting template including as the associated linkages to the NDC Partnership.

The CSF will be prioritizing the database development over the upcoming months by converting the offline CSF Reporting Template into an online reporting template. This will enable the PMU to collect and store critical information on its grants (including the aforementioned World Bank portfolio, leveraging of financial resources, national COVID-19 recovery process, Paris-related ambition, beneficiaries and other transformational impacts).

**PILLAR 1: COVID-19 GREEN RECOVERY INITIATIVE**

**STRATEGIC PRIORITY:** Rebuilding better and achieving a resilient, inclusive, and sustainable or ‘green’ economic recovery from the impacts of the COVID-19 pandemic.

**DEVELOPMENT OUTCOME:** Strengthened institutions, capacity, and policies for a COVID-19 green recovery that incorporates low emissions and climate resilient development.

OUTPUT	INTERMEDIATE OUTCOME	OUTCOME
<p>1.1 Number (#) of countries that received capacity building support on green recovery, or low emissions and climate resilient development in the context of COVID-19 recovery</p>	<p>1.1.1 Number (#) of countries in which Bank engagement on climate change was advanced in a new or an existing area because of support provided by the CSF</p> <p>1.1.2 Number (#) of countries in which formulation, evaluation, and implementation capacity of climate-related policies was strengthened because of support provided by the CSF</p> <p>1.1.3 Number (#) of countries in which climate-related policies, strategies, and regulatory or market frameworks and investment were informed or strengthened because of the support provided by the CSF</p>	<p>1.1.1.1 Amount (US\$) of lending volume of operations across the World Bank that are reducing emissions and building climate resilience</p> <p>1.1.1.2 Number (#) of countries in which climate action was sustained or advanced in the context of economic recovery from the COVID-19 crisis</p>
<p>1.2 Number (#) of countries that received technical assistance for policy formulation, investment planning, project design, policy/project implementation, or monitoring and evaluation to advance climate action in the context of COVID-19 recovery</p>	<p>1.1.4 Number (#) of new operations informed by analytics developed using CSF funding</p>	<p>1.1.1.3 Number (#) of countries in which climate-related policies, strategies or investment that are reducing emissions and building climate resilience</p>
<p>1.3 Number (#) of analytics undertaken on green recovery or low emissions and climate resilient development in the context of COVID-19 recovery</p>	<p>1.1.5 Number (#) of new climate-related operations identified for upcoming lending pipeline because of support provided by the CSF</p> <p>1.1.6 Number (#) of Bank operations under preparation that integrated green recovery or climate-related measures because of support provided by the CSF</p>	

<sup>4</sup> The Results Framework will be updated as needed over time, including the developed success indicators from the M&E Technical Working Group.

**PILLAR 2: NATIONALLY DETERMINED CONTRIBUTIONS (NDC)  
AND LONG-TERM LOW-CARBON AND CLIMATE RESILIENT STRATEGIES (LTS) SUPPORT**

**STRATEGIC PRIORITY:** Strengthening government coordination and capacity for the enhancement and implementation of NDCs and the development of LTSs in developing countries in contribution to the NDC Partnership work program.

**DEVELOPMENT OUTCOME:** Strengthened capacity to implement the Paris Agreement through NDCs and/or LTSs.

OUTPUT	INTERMEDIATE OUTCOME	OUTCOME
<p><b>2.1</b> Number (#) of countries that received capacity building support on NDCs or LTSs in the context of low emissions and climate resilience</p>	<p><b>2.1.1</b> Number (#) of countries with strengthened capacities to enhance and implement NDCs in one or more of the following areas: climate-relevant policy, finance, monitoring and evaluation informed by NDC SF support</p>	<p><b>2.1.1.1</b> Number (#) of countries with enhanced NDCs informed</p> <p><b>2.1.1.2</b> Number (#) of countries with defined policies and measures to implement the Paris Agreement through NDCs and LTSs</p> <p><b>2.1.1.3</b> Amount (US\$) of WB lending that contributes to NDC implementation</p>
<p><b>2.2</b> Number (#) of countries that received technical assistance in the context of low emissions and climate resilience for: support to develop sectoral or economy wide LTSs; support for climate-relevant policy, finance or monitoring and evaluation; support through flexible to enhance or implement NDCs</p>	<p><b>2.1.2</b> Number (#) of countries with strengthened capacities to inform the development of an LTS in one or more of the following areas: data, analysis, methodologies, tools informed by NDC SF support</p> <p><b>2.1.3</b> Number (#) of innovative solutions and enhanced knowledge products are utilized for enhancement/implementation of NDCs and development of LTSs informed by NDC SF support</p>	
<p><b>2.3</b> Number (#) of analytics undertaken on low emissions and climate resilient development in the context of enhancement and implementation of NDCs and the development of LTSs</p>	<p><b>2.1.4</b> Number (#) of Bank operations under preparation that integrated NDC/LTS measures because of support provided by the CSF</p>	

Updated May 2021



**CSF**

**FAST-FORWARD CLIMATE ACTION**

